

# Faculty of Engineering and Physical Sciences (FEPS) MPhil, PhD and MD Handbook

Academic year 2024-25

# **Contents**



	i
Welcome from the Faculty Associate Dean (Doctoral College)	1
Key Contacts	2
Key Sources of Information	8
1. Annual Re-registration	10
1.1 Registration of returning PGRs	10
1.2 Registration periods	10
1.3 Student Records	11
2. Fees and Payments	12
2.1 Tuition fees	12
2.2 Maintenance/Stipend payments	12
2.3 Accommodation	12
2.4 Completing (Writing up) fees	13
2.5 Re-Submission fees	13
2.6 Printing	13
2.7 Funding Opportunities	14
3. The Doctoral College and Governance	15
3.1 The Doctoral College	15
3.2 Governance	15
4. Feeding back on your experience	17
4.1 Postgraduate Researcher Engagement forum	17
4.2 The Postgraduate Research Experience Survey	17
4.3 Have your Say	17
5. Starting your research degree	18
5.1 Induction	18
5.2 Desk space and local facilities	19
6. Supervision	21
6.1 Supervisory arrangements	21
6.2 What are your responsibilities as a PGR?	21
6.3 Regular meetings	21

	6.4 Annual leave	24
	6.5 Supervisor meeting FAQs	25
	6.6 Fieldwork and Overseas Travel	28
7	7. Research Integrity and Ethics	28
	7.1 Avoiding common pitfalls	31
	7.2 Research Ethics	36
8	3. Researcher Training and Development	37
	8.1 Researcher Development Programme	37
	8.2 Identifying your training needs	38
	8.3 Writing development and support	40
	8.4 Your Career Development – Employability & Engagement	41
	8.5 Central Space for Postgraduate Research Students	42
	8.6 Discipline-specific training	43
8.	7 The PGR Conference	. 43
8.	8 Teaching opportunities	. 44
9	Progress Monitoring	45
	9.1 Interim and end of year review report	45
	9.2 The second year (FT) and fourth year review (PT)	46
	9.3 Outcomes of reviews and unsatisfactory academic progress	46
1	O. Confirmation of Registration	48
	10.1 What is confirmation?	48
	10.2 When does Confirmation occur?	49
	10.3 Who will my Assessors be?	49
	10.4 What do I need to do for the report?	49
	10.5 Confirmation Outcome(s)	51
1	1. Extenuating Circumstances	53
1	2. Completing Status	54
1	3. Changes to registration	57
	13.1 Temporary withdrawal and sick pay	58
	13.2 Extension to registration	60
	13.3 Other changes to registration	61
1	4. Thesis submission and the <i>viva voce</i> examination	62
	14.1 Stage 1: Entry Form for Examination	62
	14.2 Stage 2: Approval of nominated examiners	63

14.3 Stage 3	3: Thesis submission for examination	64
14.4 Stage 4	4: <i>Viva voce</i> examination	67
14.5 Stage !	5: Decision	68
14.6 Stage (	6: E-thesis deposit	68
14.7 Stage	7: Award	69
15. Other sou	rces of advice and support	70
15.1 The Ur	niversity Library	71
15.2 The Po	ostgraduate Society	71
15.3 The St	udents' Union	72
15.4 Disabil	lity and neurodiversity	73
15.5 MySur	rrey Hive	73
15.6 Health	n and Wellbeing	74
16. Making an	n appeal or complaint	76
Appendix 1	Student Policies and Regulations	77
Appendix 2	Dual Degree programmes	77
Appendix 3	PGR Lifecycle	78

#### Welcome from the Faculty Associate Dean (Doctoral College)

The Faculty of Engineering and Physical Sciences (FEPS) is the largest Faculty in the University and it is home to about half of the doctoral researchers registered at the University. The Schools and research centres within the faculty undertake a vast range of research covering Chemistry, the various Engineering disciplines, Mathematics and Physics through to Computer Science. But within that broad base you will find other gems of knowledge. Most research pursued is rated as internationally excellent and many areas are world leading. Our faculty hosts major national facilities such as the Ion Beam Centre, the 5G/6G Innovation Centre, and the Environmental Flow Research Centre which are used by academics from other universities as well as industry.

The research undertaken in FEPS is highly relevant for industry but also for the wider society. The researchers tackle many of the challenges the global community is facing today, and research outcomes have contributed to new understanding and the generation of new knowledge in the Engineering and Physical Sciences. From research into clean water supply, to laser-based space-to-earth solar-energy transfer, and from breakthroughs in audio-visual technologies to technologies for the 6th generation of mobile communication, researchers in FEPS play leading roles.

In all of these areas, Postgraduate Researchers (PGRs) are at the core of the activity. Your contributions to research help us realise the visions of both the departments and the wider faculty. Our world class research produces new results that are published and, in some cases, patented and our standing comes from your work and those that came before you. We could not undertake research without you and the importance of our PGRs to the wider research aspirations of the University is well understood within the faculty.

The Faculty offers an excellent and stimulating study and research environment, with state-of-the-art facilities provided by continuous investment in buildings and infrastructure. It also provides, in addition to the training courses offered through the researcher development programme, subject specific technology training. Together with other top class facilities such as the Surrey Sports Park and the delights of Guildford and the surrounding countryside on the doorstep, you could not have chosen a better place to continue your education.

I wish you every success for your research, hope that you will have a great experience during your time here, and warmly welcome you to the faculty. It's a great place to study and grow as a researcher and I truly hope you enjoy your time with us.

Professor Nick Hills
Associate Dean (Doctoral College), FEPS

# **Key Contacts**

# **University Level contacts**

Name	Position	Email address		
Doctoral College enquir	ies (general queries and signposting)	doctoralcollege@surrey.ac.uk		
Researcher Developme	nt & Careers and Employability	rdp@surrey.ac.uk		
enquiries (Researcher De	evelopment and Employability team)			

# **Faculty Level contacts**

Prof Nick Hills	Associate	Dean (Doctoral College)	n.hills@surrey.ac.uk
Faculty Research Deg enquiries (questions milestones)	researchdegrees@surrey.ac. uk		
Faculty Research Deg	rees Progra	amme Management Team	
Jackie Gallagher (Rese Degrees Office, Doctoro College).	AC (A fo Eld Er CC Er Qu	enior Research Degrees dministrator dvanced Technology Institute r Physics and Electrical and ectronic Engineering, Civil and nvironmental Engineering, omputer Science, Centre for nvironment and Sustainability, uantum Biology, Institute for ommunication Systems, athematics and Physics).	j.gallagher@surrey.ac.uk
Sarah Crawford (Research Degrees Office, Doctoral College).		esearch Degrees Administrator chemical and Process agineering, Chemistry, Centre r Vision Speech and Signal cocessing, Mechanical agineering Sciences and Surrey bace Centre).	s.crawford@surrey.ac.uk

# **Local Level (School/Department) contacts**

#### **FEPS PGR Directors**

Name	Position	Email address
Dr Maxim Shkunov	Advanced Technology Institute (ATI) for EEE and Physics	m.shkunov@surrey.ac.uk
Dr Peter Roth	Chemistry & Chemical and Process Engineering Research (CPE)	p.roth@surrey.ac.uk
Dr Sotiris Moschoyiannis	Computer Science	s.moschoyiannis@surrey.ac.uk
Dr Juan Sagaseta	Civil & Environmental Engineering (CEE) & also, <b>Temporarily</b> for	j.sagaseta@surrey.ac.uk
	Centre for Environmental Strategy (CES)	
Dr Jean-Yves Guillemaut	Centre for Vision Speech and Signal Processing (CVSSP)	j.guillemaut@surrey.ac.uk
Prof Zhili Sun	Information and Communication Systems (ICS)	z.sun@surrey.ac.uk
Dr Tan Sui	Mechanical Engineering Sciences (MES)	t.sui@surrey.ac.uk
Dr Michelle Collins	Maths & Physics	m.collins@surrey.ac.uk
Prof Jonathan Johnston (Sch of Biosci & Med)	Quantum Biology	j.johnston@surrey.ac.uk
Dr Raffaella Guida	Surrey Space Centre (SSC)	r.guida@surrey.ac.uk

# **FEPS School Administration Managers**

Name	School	Email address
Vacant post	School of Maths & Physics	mathsandphysics-office@surrey.ac.uk
Megan Hopper	School of Chemistry & Chemical Engineering	m.hopper@surrey.ac.uk
Noelle Hartley	School of Mechanical Engineering Sciences (MES)	mes-schooladminhub@surrey.ac.uk
Joanna Moore	School of Sustainability, Civil & Environmental Engineering	joanna.moore@surrey.ac.uk
Jane Phillips	School of Computer Science and Electronic Engineering	jane.phillips@surrey.ac.uk

#### **FEPS School Administrators**

Name	Centre	Email address
Lynn Tumilty (Temp cover)	Advanced Technology Institute for Physics and Electrical and Electronic Engineering (ATI)	l.tumilty@surrey.ac.uk
Linda Bennett Megan Hopper	Chemical and Process Engineering Research (CPE)	SCCEadminteam@surrey.ac.uk
Linda Bennett Megan Hopper	Chemistry	SCCEadminteam@surrey.ac.uk
Emma Wittmann	Computer Science	cs-pgrs@surrey.ac.uk
& Charlie Corcoran (on maternity leave)		

Mirjam Lytton-Lange	Civil and Environmental Engineering (CEE) & Centre for Environment and Sustainability (CES))	SCEE-PGRadmin@surrey.ac.uk
Carolina Lindberg Sophie Maxwell	Centre for Vision Speech and Signal Processing (CVSSP)	c.lindberg@surrey.ac.uk Sophie.maxwell@surrey.ac.uk
Malissa Vale	Institute for Communication Systems (ICS)	m.vale@surrey.ac.uk
Elizabeth Jones & Philip Morriss	Maths and Physics	mathsandphysics-office@surrey.ac.uk
Debbie Carpenter & Ellie Wang	Mechanical Engineering Sciences (MES)	mes-schooladminhub@surrey.ac.uk
Elizabeth Jones & Philip Morriss	Surrey Space Centre (SSC)	mathsandphysics-office@surrey.ac.uk

#### **Student Representatives**

#### A bit about PGR Course Reps

A Course Representative (Course Rep) is an elected PGR who volunteers to gather feedback from their peers and voices it to the University. They provide a direct way for PGRs to communicate their diverse range of opinions to the staff who deliver their university experience. Any student can stand to be the Course Rep for their research area, and all PGRs will be represented by at least one Course Rep. The Students' Union will run training for Course Reps and provide support, reward, and recognition.

#### WHAT DO COURSE REPS DO?

1. Research student opinion – Reps will encourage their fellow PGRs to engage in discussions about their academic and social university experiences, and promote the opportunity to share feedback, ideas, and questions to the University through the Course Rep scheme and the wider Students' Union, whether the feedback is positive or about something that needs changing.

- 2. Represent students Reps attend Faculty-level meetings held by the University to give voice to more complex issues requiring collective discussion with staff, along with working closely with Doctoral College staff to achieve positive changes for PGRs.
- 3. Report back Finally, Reps will let PGRs know what has happened because of their feedback! This will help the PGR community see the value in sharing their opinions with their Rep to the University.

#### WHY ARE REPS IMPORTANT?

Representation is a core part of the Students' Union. The collaboration between Course Reps, the Union, and University staff leads to big changes both in individual research areas and across the University as a whole. It's also vital that students take the lead in their academic experiences and shape the University now and for the future. Reps are a core part of the student feedback structure at the University, and student-led representation is at the heart of the Students' Union and Doctoral College.

#### WHAT ARE THE BENEFITS FOR REPS?

Being a Course Rep is a fantastic way to boost your **employability**, assertiveness, and communication skills. Having a leadership role with formal committee experience on your CV when you leave university is going to help you stand out from the crowd and help you to develop qualities you'll need when you leave Surrey or progress further into academia. You will gain practice you'll have in public speaking and negotiation from attending Faculty-level meetings and representing your cohort. As a Course Rep, you'll also receive:

- Chances to win awards, including Rep of The Month
- · Resources, freebies, and training
- Improve your employability
- Logging volunteer hours
- Access to other opportunities, e.g. workshops and focus groups

#### **HOW CAN YOU GET INVOLVED?**

Become a PGR Rep! Elections take place each year following the Sep/Oct induction, and when elections take place, you will be asked to complete a nomination form. Once you have submitted your nomination form, your nomination will be processed, and if needed, a vote will be arranged for the PGRs you'd represent to decide on who is elected to be the Course Rep. You will then be notified that you have been elected and you will be registered with us here at the Students' Union to contact you to complete Course Rep Training!

The time commitment to be a Rep isn't as much as you might think. Many Reps easily balance their responsibilities alongside their research and other voluntary roles. You'll need to ensure you have the

time to attend your Faculty-level meetings – these are the core responsibility to the role. You can expect to attend 6-8 meetings per year. The rest of your role will be less time-demanding – chatting to your peers to gather and escalate student feedback, and to update them on the results of your meetings.

Information on how to become a Course Representative can be found on the "Become a PGR Rep page".

If becoming a Rep isn't for you, please still stay involved by chatting to your Rep, sharing your feedback with them, and get in touch with the Students' Union if you have any further questions.

Find out more from the Student's Union website via <a href="www.ussu.co.uk">www.ussu.co.uk</a>. The Student Union webpages provide the PGR Reps' contact details here: <a href="https://ussu.co.uk/voice/course-reps/working-for-you/pgr-reps/">https://ussu.co.uk/voice/course-reps/working-for-you/pgr-reps/</a>. This is updated following the PGR Rep elections which happen each October.

# **Key Sources of Information**

PGRs (Postgraduate Researchers) should familiarise themselves with the following key resources:

#### Surrey Self-Service



Surrey Self-Service provides PGRs with their programme details, including completed milestones. It is also where PGRs:

- log monthly supervision meetings
- request any changes to registration
- o book training & development workshops offered by the Doctoral College

Log into Surrey Self-Service via the MySurrey Research hub. Once you are logged into Surrey Self-Service, click on Research programme details

Look out for the plus symbol on the main banner as the link may be in the drop-down menu.

Full-time PGRs can produce council tax exemption letters through Self-Service under the Letters tab. Please note that part-time PGRs are NOT eligible for council tax exemption.

#### Other letter requests

The research degrees programme management team will aim to provide you with a letter within a reasonable timeframe. However, during busy periods that can be between 3-7 working days.

## University of Surrey | MySurrey

MySurrey is your principal source of information. The Research area of MySurrey website has links to:

- The Regulations for Research Degrees
- The Code of Practice for Research Degrees
- Guidance documents under the Useful Documents tab to help you complete your monthly supervisory meetings, request changes to registration and sign-up to workshops

Important information for PGRs studying on a student visa
 MySurrey Support pages also provide information on <u>Wellbeing</u>, and financial advice under the <u>Money</u> section.

#### SurreyLearn

SurreyLearn is the University's Virtual Learning Environment (VLE). The Doctoral College Common Room links through to each Faculty's own area for PGRs where you will find School/Departmental guidance on the Confirmation Report. The assignment area of SurreyLearn is used by some Faculties for the purpose of uploading the confirmation report and an electronic copy of the soft-bound thesis.

Please also refer to this link <a href="https://research.surrey.ac.uk/useful-documents">https://research.surrey.ac.uk/useful-documents</a> to access information and guidance pertaining to **Confirmation documents** 

**You are not required** to submit your thesis to SurreyLearn. Please refer to Section 14.3 Stage 3: Thesis submission for examination.

Please refer to the DC SurreyLearn page via **here:** <a href="https://surreylearn.surrey.ac.uk/d2l/home/147276">https://surreylearn.surrey.ac.uk/d2l/home/147276</a>

# University Email (@surrey.ac.uk)

Your University email address is the primary method of communication. PGRs MUST ensure they check this account on a regular basis. Final year PGRs should note that this email account is closed 90 days following the award of the degree.

# 1. Annual Re-registration

#### 1.1 Registration of returning PGRs

Each year, all PGRs enrolled with the University for an award, including those **on temporary withdrawal**, are required to formally re-register on their doctoral programme. This applies to all PGRs who expect to complete their programmes (that is, submit their electronic version of the soft-bound thesis) after the 1<sup>st</sup> November in the current academic year.

This formal process ensures that you are entitled to access University facilities, laboratories, and other academic related sessions; and are liable for payment of tuition fees where appropriate.

If you have submitted your thesis or will be submitting your thesis before 1st November, you do not need to re-register however, you do need to email the student records team to let them know studentrecords@surrey.ac.uk

Over the summer, you'll receive an email to your University email account inviting you to reregister at <a href="www.surrey.ac.uk/selfservice">www.surrey.ac.uk/selfservice</a>. In a few clicks you can confirm and, if necessary, amend your details. Once completed, you will be instantly re-registered for the new academic year. Please complete re-registration by 20<sup>th</sup> September, particularly if you are a funded student as Finance need time to set up the October stipend payments. You will be contacted if you fail to re-register by the deadline, but ultimately if you don't re-register it will result in the termination of your studies.

Further details regarding re-registering and advice for returners, can be found here: https://study.surrey.ac.uk/help/returners-key-dates-and-advice

#### 1.2 Registration periods

It is a University requirement that all research projects can feasibly be completed within a specified timeframe. This not only ensures that your work remains current and does not lose any of its novelty, but it also means that you can move on to the next stage of your career in a timely manner. The University expects a full-time PGR to submit their thesis for examination within 36 months, or within the funded-period, and a part-time PGR to submit within 72 months.

We allow a maximum registration period of 48 months (full-time) and 96 months (part-time) to allow for any unforeseen circumstances that may arise and delay submission. The maximum periods of registration are given in the table below.

Type of degree	Maximum period of registration (Full-time)	Maximum period of registration (Part-time)
MPhil	36 months	72 months
PhD	48 months	96 months
MD	36 months	72 months

Maximum registration deadlines are monitored by the Admission, Progression and Examination Subcommittee (APESC) and if PGRs fail to submit by their maximum registration deadline, it is likely that their registration with the University will be terminated. In exceptional circumstances an extension to registration may be granted, **but this is by no means guaranteed.** 

#### 1.3 Student Records

Details about all students are held on the University's SITS database, which feeds through to the information you see on Surrey Self Service (SSS). All data is stored and used in accordance with the University's student privacy notice (<a href="https://www.surrey.ac.uk/information-management/data-protection">https://www.surrey.ac.uk/information-management/data-protection</a>) and in line with the relevant UK legislation.

# 2. Fees and Payments

#### 2.1 Tuition fees

Information on current PGR tuition fees can be found on the individual programme pages on the Surrey website. Should you require information on fees from earlier years contact fees and funding via <u>Surrey Support</u>. This <u>FAQ section</u> provides information on the timing of tuition fee payments for postgraduate researchers.

If any tuition fees are outstanding at the end of your programme, the University will be unable to confer your award until the debt has been cleared.

#### 2.2 Maintenance/Stipend payments

If you are in receipt of a University of Surrey or Research Council studentship which pays a maintenance grant/stipend, you will receive your monthly payment at the start of each month. In your first month at the University, the payment will be made by the end of the month following the final day for registration, if your bank details have been received and you are fully registered.

Usually once you submit your thesis you will no longer be in receipt of further maintenance payments. For any queries regarding maintenance payments, please liaise with your Supervisor, in the first instance.

Studentship queries for PGRs awarded a Doctoral College Studentship Award (DCSA) can be addressed to the Postgraduate Research Lifecycle Systems Manager at <a href="mailto:doctoralcollege@surrey.ac.uk">doctoralcollege@surrey.ac.uk</a>.

For other PGRs in receipt of maintenance paid direct from the University of Surrey, queries can be addressed to the PGRs Studentship Finance team at <a href="mailto:studentships@surrey.ac.uk">studentships@surrey.ac.uk</a>

#### 2.3 Accommodation

If you are based in University of Surrey owned accommodation and have a query regarding your invoice for accommodation fees, please contact Surrey Support using the following link <a href="https://doi.org/10.2016/journal.com/">https://doi.org/10.2016/journal.com/</a>

#### 2.4 Completing (Writing up) fees

PGRs who transfer to Completing Status <u>Postgraduate research fees</u> | <u>Money</u> | <u>MySurrey</u> towards the end of their programme will be invoiced in full or proportionally, according to the expected end date available when raising the invoice. Completing fees are payable per academic year with provision for partial refund as appropriate and will be reviewed at the point the thesis submission date is entered on Surrey Self-Service. The thesis submission date is the date when the electronic thesis is submitted to the Research Degrees Programme Management (RDPM).

#### The standard completing (writing up) fee for the 2024-25 academic year is £650.

The Student Receivables team may agree a payment plan up to your submission date. They can be reached at student-receivables@surrey.ac.uk.

#### 2.5 Re-Submission fees

If, after your *viva voce*, you are required to re-submit your thesis for examination, you will be asked to pay a re-submission fee as follows:

Re-submission of a thesis for MPhil/PhD/EngD/MD without further viva	£300.00
Re-submission of a thesis for MPhil/PhD/EngD/MD with further viva	£500.00

Payment should be made via the Surrey Online Store before your resubmission deadline: <a href="https://store.surrey.ac.uk/product-catalogue/registry-student-services/resubmission-fees">https://store.surrey.ac.uk/product-catalogue/registry-student-services/resubmission-fees</a>.

PGRs who wish to extend their student visa to cover a resubmission period will need to re-register before applying to extend their visa. Student Records will supply a copy of the late registration form in these cases. No tuition fee will be charged during the resubmission period. Where examiners have requested further research as part of the resubmission, the PGR's faculty may require payment of a bench fee to cover the costs of equipment/resources.

#### 2.6 Printing

PGRs should not pay for printing when using departmental photocopiers. In the unlikely event

you are charged, please contact IT: <a href="https://it.surrey.ac.uk/contact-us">https://it.surrey.ac.uk/contact-us</a>

#### 2.7 Funding Opportunities

There are very few funding opportunities once a PGR is registered because there is an expectation that finances will be in place from the start of the programme however, there are some external opportunities available for enrolled PGRs. Details can be found here: <a href="https://www.surrey.ac.uk/doctoral-college/prospective-postgraduate-researchers/fees-funding">https://www.surrey.ac.uk/doctoral-college/prospective-postgraduate-researchers/fees-funding</a>.

The Doctoral College also pays a subscription to the **Alternative Funding Guide**, further details which can be found on Surrey Learn

https://surreylearn.surrey.ac.uk/d2l/le/content/147276/viewContent/2428570/View.

Please always carefully read the information to see if you are eligible to apply.

#### 3. The Doctoral College and Governance

#### 3.1 The Doctoral College

The Doctoral College forms an integral part of the Pro Vice Chancellor Research and Innovation's portfolio and the University's Research Strategy. Its role is to help Postgraduate Researchers (PGRs) get the most from their time at Surrey through developing new and novel ways to build a cohesive community and research environment, providing training and development opportunities, facilitating excellent supervision, and enhancing employability skills.

The Doctoral College oversees the management of the PGR lifecycle through the Research Degrees Programme Management Team and the activities of the Researcher Development and Employability (RDE) team, which provides research and transferable skills training as well as careers and employability support.

Developing talented researchers capable of dealing with the world's future challenges and opportunities is one of the most important, and rewarding, activities we do at the University - the Doctoral College is at the heart of this.

The Associate Deans (Doctoral College) (ADs) and PGR Directors (PGRDs) lead on and support the activities of the Doctoral College at the Faculty and local (Department, School, Centre) levels. They provide hands-on management of doctoral programmes to ensure that you are well-supported and have access to the training and guidance you need to successfully complete your doctorate and prepare for your career path of choice.

#### 3.2 Governance

There are two University-level committees with a responsibility for PGRs:

<u>Doctoral College Board</u> (DCB): This committee takes overall responsibility for considering and formulating University policy and strategy relating to PGRs. Student representation comes via the elected VP Voice of the Students' Union (<u>vpvoice@surrey.ac.uk</u>) and the Head PGR rep.

<u>Admission, Progression and Examination Subcommittee</u> (APESC): This subcommittee meets monthly and is responsible to the Doctoral College Board for monitoring individual PGR progress.

The outcomes of your progress reviews, and any requests for changes to registration, are considered by this subcommittee. Due to individual PGR matters being discussed, it is not appropriate to have PGR representation on this subcommittee. The calendar of meetings for 2024-25 is as follows:

#### APESC Calendar 2024/25

October	November	December	January	February	March	April	May	June	July	September
APESC										
16/10/24	13/11/24	11/12/24	15/01/25	12/02/25	19/03/25	16/04/25	14/05/25	18/06/25	23/07/25	10/09/25
(02/10/24)	(30/10/24)	(27/11/24)	(01/01/25)	(29/01/25)	(05/03/25)	(02/04/25)	(30/04/25)	(04/06/25)	(09/07/25)	(27/08/25)

**KEY**APESC – Admission, Progression and Examination Subcommittee

(PGRD sign-off deadline in brackets - must be with RDO by this date)

The date in brackets is the date by which your supervisor and postgraduate research director must have approved the request for it to be considered at that month's APESC.

At the Faculty-level, the <u>Faculty Research Degrees Committee</u> (FRDC) has a responsibility for postgraduate research matters. This committee is responsible, within the context of the University policy and strategy, for considering and formulating a strategy for the Faculty relating to the development, evaluation and enhancement of research degree provision. There is a PGR representative from each Faculty on this subcommittee. The current PGR representative from the Faculty can be found in the Key Contacts section of this handbook.

# 4. Feeding back on your experience

#### 4.1 Postgraduate Researcher Engagement forum

In addition to the committees mentioned above, you also have access to a Postgraduate Researcher Engagement (PEF) forum. The purpose of the forum is to discuss with PGR feedback on their learning experience. This enables the Faculty and, where appropriate, the University to make changes to better support you in your research degree. You are very much encouraged to use these meetings, or your local PGR representative, to voice any issues or concerns you may have. The outcomes of discussions at these fora are reported to the Faculty Research Degrees Committee.

#### 4.2 The Postgraduate Research Experience Survey

The Postgraduate Research Experience Survey (PRES) is the national survey of PGRs in the UK, which gives PGRs the opportunity to share their experiences of doing a research degree. It usually runs in the Spring.

As the UK's primary national survey of PGRs, it is the only way for the University to benchmark how we are doing against other universities. The survey has led to changes and improvements in the PGR experience at Surrey, so it is important that you make your voice heard. Each School creates an action plan to help us respond to the feedback from this survey. These are shared with you on SurreyLearn and are updated as actions are taken. The Doctoral College will also coordinate a central review of feedback from the PRES together with other routes for feedback, including those set out below) from the PGR community to help make improvements to PGR support at the University level.

#### 4.3 Have your Say

In addition to the PGR Engagements forums and the PRES, there are other routes available for you to feedback your opinions to the University. For example, the Students Union runs regular events, such as Speak Week, to survey the opinions of all students including PGRs. You can also always bring your ideas to your PGR Director and the Doctoral College. These are all great ways of ensuring the right people hear your views.

# 5. Starting your research degree

#### 5.1 Induction

All PGRs, regardless of start date, receive a comprehensive induction to their degree programme. The induction programme is comprised of centrally organised events, to give you an opportunity to meet the staff of the Doctoral College as well as staff from support services such as the Centre for Wellbeing, Library, Careers and Employability and the Students' Union.

The central events are complemented by local inductions, which take place at the Faculty and School/Departmental level. These induction programmes are designed to familiarise you with your local working environment, as well as provide an opportunity to meet local staff (academic and administrative), other PGRs and researchers, and receive an induction on local resources. You should be given information on any compulsory health and safety requirements and should be familiarised with local policies in your area, particularly if you are working in a laboratory setting. Supervisors are ultimately responsible for the safe working of any PGRs whom they take on. Early in the project you should be made aware of the ethical and legal framework within which your project is undertaken.

#### **Induction Checklist**

Level	Contact	Induction item
		Broad overview of the University
		Interactive discussion on doing a doctorate at Surrey
		Library services for PGR
	Doctoral College	Researcher Development and Employability
Central	Team	Academic writing support
		Career & employability support
		Teacher training - PG Cert in Learning & Teaching
		Promoting research
		Postgraduate Society
		Student support
		Centre for wellbeing
		Regulations and Codes of practice

	Associate Dean	Programme structure (reviews, confirmation, examination)
	(Doctoral College)	Health and safety policies
Faculty	Incl.	Student-supervisor relationship
	Faculty Research	Intellectual Property
	Degrees	Faculty Handbooks
	Team	
		Introduction to key staff
		Research groups and expertise
Departmental/	PGR Director	Local training provision and training requirements
School		Applying to demonstrate/teach
		Desk space and use
		Local resources (e.g. toilets, coffee, photocopying/printing etc.,
		equipment)
		Discuss practicalities of supervisory meetings
		Discuss responsibilities for recording meetings
		Discuss responsibilities of each team member and PGR
First	Principal	Discuss feedback
supervision	supervisor	Flag any potential ethical issues
		Discuss authorship of publications
		Discuss any training needs
		Set clear targets, including training, for the first six months

#### 5.2 Desk space and local facilities

Currently all full-time PGRs in the Faculty should be given access to a desktop PC or laptop and desk space should be available (although in some cases this may be shared). Your supervisor (or local administrator) should be able to show you where this is and to help you find all the facilities you need to carry out your research. Please liaise with your School regarding IT equipment. They should also explain the local arrangements for photocopying, expenses and travel.

If your research is laboratory-based, then as well as complying with all relevant health and safety and ethical requirements you will need to become familiar with any local rules and procedures. Your supervisor should make these clear to you before you start.

You should also speak to your local administrator and PGR director who in addition to your supervisory team will be able to provide advice and further guidance on the topics below:

- Desk space
- Access to computing resources

- Practical advice on accessing labs/specialist equipment
- Photocopying
- Expenses including arrangements for booking travel and accommodation
- Funds for conference attendance and other external activities

# 6. Supervision

#### **6.1 Supervisory arrangements**

All PGRs should expect to be supervised by a team comprised of one Principal Supervisor and at least one Co-supervisor. PGRs who are working under a collaborative agreement with another organisation will be allocated a collaborative supervisor from that organisation. The appointment of a team of supervisors ensures that there is adequate experience of supervising PGRs within the team and that less experienced supervisors have access to a mentor. The University also provides training to new supervisors to ensure that they are equipped with the appropriate skills and knowledge to guide you through your research journey.

It is likely that you will have had some contact with your Principal Supervisor during the application process, either through working up a research proposal or during an interview. The relationship with your Principal Supervisor is of paramount importance in determining whether the research process will be fruitful and enjoyable. As such, you will find it helpful to attend the Growing and Maintaining your Supervisory Relationships workshop.

The sections that follow outline what you can expect from your supervisors and what is expected from you. There are also some practical tips on what you can do to help ensure that the relationship works well.

#### 6.2 What are your responsibilities as a PGR?

The Research area of MySurrey website links to the <u>Code of Practice for Research Degrees</u> which sets out your responsibilities as a Surrey PGR as well as the support you can expect to receive from your supervisory team. Please ensure you take the time to read through this Code.

#### 6.3 Regular meetings

The Code of Practice stipulates that regular meetings must take place between PGRs and their Principal Supervisor; other members of the supervisory team should attend these meetings as appropriate. You should meet with your supervisor at least once a month and keep in regular contact during periods of fieldwork. This is the prescribed minimum number of meetings, and a **requirement** for PGRs studying on a **student visa**, but more frequent meetings are encouraged.

All supervisory meetings must be logged in Surrey Self-Service. There is a 'How to' guidance document under the <u>Useful Documents webpage in MySurrey</u> which will help you to navigate the supervision meeting system.

Monthly supervision logs are created on the 5<sup>th</sup> of each month, and you will be sent an automatic email to alert you that a new log is available. You have until the middle of the following month (usually the 15<sup>th</sup>/16<sup>th</sup>) to log your meeting notes. If you miss the deadline, you should add your notes to the next available meeting log. If you are studying on a student visa, you must inform Immigration Compliance Via Surrey Support using this link Surrey Support if you fail to record your meeting notes as they monitor engagement through the monthly meeting records. After you have submitted the log, the supervisor you selected as having had the meeting with will be sent a notification to check and approve the record (your other supervisors will not be able to approve the record). Always keep notes of the meetings with your supervisors and agree targets to be met before the next session. Once you have finished a meeting, submit the monthly log. You do not have to use the system template to record the discussion and targets, you will have the option to upload a document if you prefer.

To ensure the smooth running of your regular supervisory meetings, you should discuss the following with your supervisor at the earliest opportunity: -

- The frequency and timing of supervision meetings
- Preferred level of supervision very hands-on or hands-off
- Resource needs what is essential, what is available, what can reasonably be expected
- Research ethics
- Intellectual property arrangements
- Your time commitments

You may find the following guidance helpful in thinking about how to approach supervisory sessions:

**Be independent:** This is your project, and your supervisors will expect you to take the lead. Remember that your supervisors are there to guide you but they will not have the answer to the particular problem you are researching – it's your job to find it!

#### Meeting etiquette:

- Always make sure you arrive on time for supervisory sessions and make sure that you have completed any actions required of you.
- Be open and honest with your supervisors.
- If you are experiencing a problem, talk to your supervisors about it early on. Problems become much harder to deal with if you leave them too long!
- Before the end of a supervisory session, make sure you agree to a date for the next meeting and stick to it.

Have reasonable expectations: Remember that supervisors are busy people with other commitments competing for their time. Your supervisors may not be able to meet with you at short notice so make sure you give them enough time to prepare if you need to meet with them outside of the agreed series of meetings.

**Write:** The sooner you start writing, the easier you will find it to clarify your ideas and progress your research project. It is useful to provide supervisors with samples of written work before your meetings as this enables your supervisors to see how you have organised your thoughts and to offer constructive feedback and guidance.

#### What to do if something is going wrong with your supervision

Despite PGRs and supervisors having the best intentions, issues and tensions can arise in a supervisory relationship. These issues can range from concerns about a change in supervision or their (*temporary*) lack of availability to, very occasionally, a breakdown of the supervisory relationship, despite the measures and safeguards the University has put in place to prevent it. If you have any concerns about your supervisory arrangement, the following steps can be taken to address the problem.

It is recognised that raising an issue about your supervision can be very difficult. To help you identify and understand any problems, and to get an impartial viewpoint to help you decide the best course of action, you can seek confidential advice, <u>at any stage</u>, from the Research Degrees Programme Management Team or staff in the Doctoral College.

- As a first step, you are encouraged to discuss your concerns informally with the supervisor with whom the problem is occurring. It often helps if you articulate your needs and the support you require explicitly to your supervisor if you haven't already done so. Remember that you can seek support and help in doing this from other members of the supervisory team.
- If this does not bring about the desired improvements, or if such a conversation proves difficult
  to take place, you can arrange to meet with the PGRD for your School, Department or Research
  Centre\* to discuss the problem and how to address it.
- If the problem persists, you can bring it to the attention of the Associate Dean (Doctoral College) for your Faculty, who will talk you through the options available to you, including changes to the supervisory team. However, you should bear in mind factors such as the availability of members of staff with appropriate expertise, and funding arrangements may mean that it is not always possible to alter supervisory arrangements.
  - \* If your supervisor has one of these roles, you can contact someone in a similar role in the School, Department, Research Centre, Faculty, or the Research Degrees Programme Management Team for advice.

The Students' Union also offers advice and guidance to students with concerns or complaints. If you wish to seek support from the Students' Union, please contact ussu.advice@surrey.ac.uk.

If raising problems through these channels does not bring about a satisfactory resolution, you are entitled to make a formal complaint to the University. Guidance on complaints about Learning Opportunities is provided in the University's <u>regulations</u> and the <u>OSCAR webpages</u>. See also <u>section 16 of this handbook</u>.

#### **6.4 Annual leave**

PGRs have an allocation of 25 days holiday per year, prorated for part-time PGRs. Annual leave runs from 1 August – 31 July and should be arranged and recorded between you and your

<u>supervisors.</u> Annual leave is different to temporarily withdrawing from your programme and should not be requested as a temporary withdrawal.

#### If you are a Student Visa holder please refer to this link

https://research.surrey.ac.uk/useful-documents and the International postgraduate research students section.

#### 6.5 Supervisor meeting FAQs

#### Q. Is it mandatory to complete the supervisory log?

A. Yes. It is a requirement of the Code of Practice that monthly supervisions take place and that a record is made of these. For PGRs studying on a visa, monthly meetings provide evidence of engagement which Immigration Compliance are obliged to regularly review in order for the University to maintain its student visa licence. Failure to record the meetings you have with your supervisor each month will result in the withdrawal of your sponsorship.

#### Q. Does this apply to all programmes?

A. This applies to all PhD, EngD, MD, PsychD and MPhil programmes.

#### Q. Does this apply to all modes of attendance?

A. Yes. Monthly supervisions are a requirement for full-time and part-time PGRs, including those on completing (writing-up) status.

#### Q. Does the supervision have to be a face-to-face meeting?

A. No, it does not need to be face-to-face or take the form of a formal meeting. You are required to log an interaction, which could be face-to-face, a Skype, Teams or Zoom call, an email exchange, or a telephone call.

#### Q. Who can sign off my supervisor meeting log?

Sign off only requires either your principal supervisor or co-supervisor – not both. If you have a second Co-supervisor at Surrey they can also sign off your meeting log. This means whoever you select, your submission will then be sent to that supervisor listed. If you select the Co-Supervisor's name, the Principal Supervisor will not have access to sign off the notes.

#### Q. I am a new starter and I cannot see my supervision meeting log

A. Supervision logs are created automatically on the 5<sup>th</sup> day of each month. If you registered after the 5<sup>th</sup> day of your month of entry (which is likely to be the case for September starters) then you will not see the first month's log. Please record your meeting notes in the next available record and if you are studying on a Student Visa, inform **Immigration Compliance Via Surrey Support** using this link **Surrey Support** that your first supervisory engagement took place.

#### Q. What if I do not have a supervisory meeting for a legitimate reason?

A. Under the Code of Practice you are required to have at least one meeting per month. If, for example, you are on annual leave please just make a note in that month's record that you are on leave and submit the log.

#### Q. Do I have to use the online template for recording monthly meetings?

A. Although it is compulsory to use the system to record monthly meetings, you do not have to use the system template. The system allows you to upload documents instead.

# Q. I have more than one supervision per month. Can I record more than one monthly meeting per month?

A. No. But the system has been designed to allow you to upload as many documents as you like. We suggest that you use a separate document to record the meetings and then make one submission via the system each month.

#### Q. What is the deadline for logging my monthly meeting notes?

A. You have until around the 15<sup>th</sup> of the following month to log your meeting notes. For example, a January meeting record will be created on the 5<sup>th</sup> January and available until on or around the 15<sup>th</sup> February, after which time it will no longer be accessible to you.

#### Q. What do I do if I miss the deadline for submitting my monthly meeting?

A. If you are a studying on a student visa, you should contact **Immigration Compliance** via **Surrey Support** using this **link <u>Surrey Support</u>**.

**Immigration Compliance** to make them aware that you have missed the deadline but that an engagement did take place. If you are not studying on a student visa, you will need to upload the meeting notes to the following month's record.

#### Q. Why can't I see my meeting notes after I have submitted the report?

A. You cannot access your meeting notes until your supervisor has approved them. Check with your supervisor to see if they have a pending action regarding your supervision before logging a technical issue with Student Systems.

Q: What should I do if I upload the wrong file to my supervisory meeting and submit it?

A: Ask your supervisor to return the meeting log to you before it is signed off by them.

Q. Do I have to continue to record supervision meetings during periods of temporary withdrawal?

A. No, you do not need to record meetings during periods of temporary withdrawal, even if you are a student visa holder. The meeting log may still be generated, depending on the date you withdrew, but please just ignore any email alerts.

Q. Do I have to continue to record supervision meetings once I have submitted my thesis for examination?

A. If you are studying on a student visa you will have to demonstrate engagement with your studies until you have completed any corrections required by the examiners and have submitted the final version of your thesis to the e-thesis repository, so therefore you must continue to upload your monthly supervision logs.

**If you are** *not* **on a student** visa you are not required to continue to upload your monthly supervision logs.

Further information can be found via here: Your supervisor | Research | MySurrey

#### 6.6 Fieldwork and Overseas Travel

Please ensure that you inform the Research Degrees Programme Management Team of your plans for fieldwork and overseas travel as there may be reporting requirements concerning your location. Additionally PGRs studying on a student visa are required to **notify Immigration**Compliance via Surrey Support using this link Surrey Support before leaving the UK, by submitting the overseas research/fieldwork form found on the Useful Documents webpage in MySurrey, under International postgraduate research students.

Regular engagement, for example via Skype, should continue at least on a monthly basis during periods of fieldwork and these engagements must continue to be recorded on Surrey Self-Service.

You should contact your supervisors, Department/School Office (*if applicable*) and the Research Degrees Programme Management Team once you have arrived back in the UK. Additionally, PGRs studying on a visa should send **Immigration Compliance** confirmation of their return flight within 24 hours of landing in the UK, as the University will need to record the date of arrival in the UK.

# 7. Research Integrity and Ethics

The University of Surrey is committed to ensuring that all research conducted under its auspices meets the highest standards of research practice. As a UK Research and Innovation (UKRI) recognized research organization, we require all research funded by UKRI to adhere to their policies and processes relating to research integrity. As signatories to the Universities UK (UUK) Concordat to Support Research Integrity, the University of Surrey is committed to:

Maintaining the highest standards of rigour and integrity in all aspects of research.
Ensuring that research is conducted according to appropriate legal, professional, and ethical frameworks and standards.
Creating a research environment grounded in a culture of integrity, supported by good governance, best practices, and development opportunities for researchers.
Implementing robust, transparent, and fair processes to address allegations of research misconduct, should they arise.
Continually working to strengthen research integrity, with regular and open reviews of progress.

It is expected that all research conducted at or in the name of the University is carried out with the highest levels of integrity and ethical responsibility. Any departures from appropriate behaviour and practices may result in allegations of research misconduct, which will be investigated under the University's Code of Practice for handling such allegations.

#### **Assurance Team Support**

The Assurance Team is the central point of contact for all inquiries related to research integrity, ethics, and governance at the University of Surrey. The team also supports researchers working with human participants, those needing external approval (including from NHS and HRA), and those whose studies require ethical review (University Ethics Committee).

A member of the Assurance Team acts as the sponsor representative for NHS and Health Research
Authority applications on behalf of the University assurance@surrey.ac.uk

#### **The Ethics Review Process and Resources**

The University of Surrey considers research at all levels should be conducted in a professional manner, and in line with our institutional and regulatory policies and guidance.

Before starting any research that involves the collection of new data you need to obtain a favourable ethical opinion from the ethics review process. Collecting data or starting research without having previously undertaken the ethics review process may be considered as research misconduct.

If you have any questions relating to this process and what is expected of you as a researcher, please contact your supervisor in the first instance to discuss your research plans. You can also email ethics@surrey.ac.uk for more information.

Please be aware that the ethical approval process can take time, particularly if external organizations are involved. It is crucial to consider and apply for ethical approval as early as possible in your research planning. If you have concerns relating to the timing of your research and the ethics review process, please contact <a href="mailto:ethics@surrey.ac.uk">ethics@surrey.ac.uk</a> for more information.

#### Resources

☐ **Ethics RM System**: Launched on 1st August 2024, this system streamlines the application process for projects involving human participants, their tissue, or data. The criteria for

review and supporting documentation requirements remain unchanged, though the application process is now more efficient.

- Supervisors need to log in to the <u>Ethics RM Applicants Portal</u> at least once to be accessible to students who wish to add them to their projects.
- Supervisors must electronically approve ethics applications before they are sent for review.

Applicants (student researchers) must log in to <a href="Ethics RM">Ethics RM</a> and complete the online application. The system's responsive design will guide the workflow, determining whether a project requires no review, governance review, or full review, with an automated email advising the applicant. Ethics officers manually allocate reviewers, and applicants can monitor the status of their application in Ethics RM. An Applicant User Guide and training videos that detail this process in full are available and should be viewed before starting this process.

Supporting documents should be prepared in advance, including:

Study protocol
Participant Information Sheets (not required if using secondary data)
Informed Consent Form (not required if using secondary data)
Any additional documents (e.g., recruitment materials, questionnaires) as appropriate.

#### **Training and Resources**

The Assurance Team offers training sessions throughout the year, and two online programs— Ethical Research and Research Integrity—are available via <u>Surrey Learn's Research Ethics portal</u> (<u>Epigeum Training Programmes</u>). We strongly recommend that you complete these training modules before designing your research and submitting an ethics application via Ethics RM.

Additional resources include:

<b>Ethics Guide</b> : Describes the principles and processes for research and teaching involving
human or animal subjects, their tissue, or data at Surrey. This guide is designed to be an
accessible summary of our comprehensive ethics policy.
Online Training: Free training available to all researchers:

- o **Epigium Training** (Use token 3c2df94a)
  - Research Ethics in Practice
  - Research Integrity Core
  - Research Integrity Supplementary Modules
- Open Research Training: Available at the Open Research Training module.
   Guidance on Authorship: Authorship and Contributors Guidelines.
- ☐ External Resources:
  - o <u>Introduction to Informed Consent</u> from the Global Health Training Centre.
  - o <u>Good Clinical Practice</u> from the National Institute for Health and Care Research.

#### 7.1 Avoiding common pitfalls

As a PGR, it is important that you familiarise yourself with documents mentioned in the section above and that that you always carry out your research to the highest levels of integrity and ethical responsibility. The guidance that follows is designed to help you achieve this by offering advice on how to avoid some of the most common mistakes PGRs make that have led to allegations of academic misconduct in their work.

#### 7.1.1 Plagiarism

The simple definition of plagiarism is claiming someone else's work as your own, or not acknowledging someone else's work adequately. This covers the whole range from simply forgetting to reference a picture that you present in a thesis figure to presenting someone else's results as your own, which constitutes serious academic misconduct or, at worst, fraud. The way to avoid plagiarism is to be careful, rigorous and honest.

You must ensure that you take great care to reference any statements that you make properly. Referencing styles vary between different academic disciplines, and your supervisor will be able to provide advice on the preferred style. In science and engineering this is typically a numbered system although Harvard (names and dates is occasionally used). The IEEE style is commonly used by Electronic Engineering and Computing departments; please read this guide.

It is particularly important that you use appropriate software for bibliography management, especially for numbered systems as they can quickly become difficult to maintain in a complex document. Possibilities include RefWorks or EndNote; students who use LaTeX typesetting may use free open source software such as JabRef, or other software based on BibTex. More information is available on the library website:

http://www.surrey.ac.uk/library/learning/informationskills/referencing.htm

#### 7.1.2 Copyright infringement

If your thesis includes any materials where the copyright does not belong to you (e.g. images, graphs, maps or even your own published papers copyrighted to the publisher) you will need clear permission to replicate or adapt these materials and use them in your thesis. We strongly advise that you clear permissions as early as possible, as copyright clearance can take time. More information on copyright is available at <a href="https://research.surrey.ac.uk/assessments/thesis-submission">https://research.surrey.ac.uk/assessments/thesis-submission</a>.

#### 7.1.3 Data Storage

If your project will lead to the generation of novel research data, it is essential that at the outset of your studies you establish adequate systems for ensuring the safety and secure storage within the University's systems of the data you generate as part of your research (not just for the duration of your research degree but afterwards too). The University has put together a helpful resource on managing and storing data throughout the lifecycle of your research project on the <a href="Open Research">Open Research</a> page of MySurrey and in the Open Research section on the University website. Use of this resource will help to ensure that you meet both University and funder expectations for good practice in data management and it will also help you to avoid a research horror story of lost data in crashed computers, lost disks, burglaries or fires.

You will share and discuss the data and your analyses of it with your supervisors and collaborators. You should also bear in mind that examiners may ask to see original material as part of the final examination of your thesis so make sure that this is kept in a secure and organised manner. To ensure good data management it is highly advisable to write a Data Management Plan before you begin data generation/collection/measurement, as explained here <a href="Data management plans">Data management plans</a> <a href="University of Surrey">University of Surrey</a>, the Library offers a Data Management Plan review service (email <a href="mailto:openresearch@surrey.ac.uk">openresearch@surrey.ac.uk</a>) and runs weekly drop-in sessions on Level 1 by the entrance gates every Tuesday morning for any data management related questions.

(PGRs) All research data **MUST be registered** with the University by creating a metadata record in the University's repository, even if the data is stored elsewhere (e.g. in a third-party external repository or web page). It's not just their theses that need to be uploaded into our repository, but also their datasets, models and code, or at least a metadata file created in our repository which links to wherever their data is archived.

If you have any questions re this, please <a href="mailto:emai

### 7.1.4 Health and Safety

Health and safety are of paramount importance! You must abide by the health and safety policies so that your working practices do not put yourself or others in harm. The University has an overarching Safety Policy Statement with which you must familiarise yourself.

The information that follows is intended to complement the above policy and will help you to ensure that your research is carried out in a safe way.

Do not undertake any laboratory work until you have attended the 'FEPS New Staff and Student Health & Safety Induction' course which run every two weeks. You may also require an induction for your specific laboratory from the laboratory manager or technical support team. All hazardous laboratory activities must be risk assessed before work begins in order to meet your legal obligations. All laboratory spaces should have their own Health & Safety folder which contains information specific to the facilities within that lab. You may be required to access the information in these folders as part of your individual laboratory induction. They can normally be found near the entrance of the laboratory.

All enquiries relating to Health & Safety in FEPS should be sent to FEPSsafety@surrey.ac.uk. Alternatively you can contact FEPS Health & Safety Manager Kevin Joyce (k.joyce@surrey.ac.uk, Ext. 6605) or FEPS Health & Safety Adviser Chris Buxey (c.buxey@surrey.ac.uk, Ext. 2932) directly. For more information on Health & Safety in FEPS please visit the Sharepoint site https://surreyac.sharepoint.com/sites/feps-healthandsafety (you will need to be on the University network or connected to the Global Protect VPN to access this).

### 7.1.5 Authorship of papers and other outputs

<u>Guidance on the development of your Researcher Profiles:</u> Researcher profiles are playing an important role in your academic career. They are an important tool to collect your scholarly records during your career. The Library has developed guidance on the on the <u>different profiles</u> (e.g. ORCID, Scopus ID, Researcher ID |Web of Science|, GoogleScholar): what they are, why they are important, how to claim or correct a profile, and how to link profiles to ORCID and Surrey Open Research Repository.

<u>Guidance on the authorship of publications</u>: The University has developed guidance on the protocols, and associated procedures, which should be followed by supervisors and research students when planning the authorship of external publications, and/or associated other outputs, originating from a doctoral research programme. The guidance is not prescriptive but seeks to establish the terms and references that should inform discussions between students and supervisors wishing to publish outputs that arise from research activity being undertaken under the name and authority of the University of Surrey.

You are advised to read and discuss this guidance with your supervisors in your first supervisory session. Authorship of research works may seem some way off when you first start but it is best to have these open discussions early and to revisit them when you first start to think about producing outputs to ensure you, your supervisory team and any other colleagues and collaborators involved in the research are clear and in agreement about plans for authorship of the work.

Copyright ownership of publications and other research outputs is set out in the University's Intellectual Property Code.

The Open Research team in the Library provides related advice on managing copyright to your publications, including published papers within your thesis and publishing under open licenses. The Open Research team also offer advice on how to link your publication with one or more <u>UN Sustainable Goals</u>.

# 7.1.6 Considerations when choosing a journal for publication

When writing up your research for publication you should discuss publication options with your Supervisor, who will be able to give advice on the most suitable journal. The topic of your research, your intended audience and the journal's policies and guidelines will be among the key factors guiding your decision.

There is a growing number of open access journals, allowing you to publish open access for maximum dissemination and impact of your research. Open access journals typically charge a publication fee, called an Article Processing Charge (APC). The University has limited funds to cover the costs of open access publishing if certain criteria are met. However, there are different Open Access models (e.g. Green Open Access or Pre-prints) you can explore by checking our <u>Open Access Publication page</u> or contacting the Open Research team.

In recent years there has been growing concern around so-called 'predatory' or 'untrustworthy' journals, which exploit the open access model by charging publication fees without providing adequate peer review and editorial services. If a journal is not one that you or your supervisor recognises; if the journal has used forceful tactics to solicit content or you have any concerns around its editorial processes, please contact Open Research for further advice before submitting to the journal.

If you have any questions, or need guidance then please email the Open Research team at openresearch@surrey.ac.uk.

#### 7.1.7 Confidentiality and commercialisation

If your thesis contains any confidential or commercially sensitive material, you may not be able to disseminate your thesis openly as per University regulations. In such cases you will need to discuss with your supervisor and commercial sponsors whether the thesis should be restricted for a specified period (embargo).

Requests to embargo your thesis must be submitted via the restricting access form, available at:

<u>Thesis submission | Research | MySurrey</u>. The form needs to be signed by you and your

supervisor and, if the reason for restricting is commercialisation, the Director of Technology Transfer.

#### 7.2 Research Ethics

All research activities undertaken by PGRs must comply with the University's ethics for teaching and research policy. This policy sets out the aim to safeguard and promote respect for the rights, interests, and well-being of PGRs, staff, research participants/subjects (human and animal) and fellow citizens and communities in local and global contexts. The policy also aims to preserve the physical environment and social and historical artefacts engaged with by university staff and PGRs.

You should discuss the ethical implications of your research project with supervisors at a very early stage of your research. To guide these discussions, you must refer to the <u>University's Ethics</u> web pages which contain important information to determine whether or not your project requires ethical approval and the steps that you need to follow to acquire it. <u>RIGO</u> are happy to be contacted for 1-2-1 advice and guidance.

Remember that it can sometimes take a little while for the ethical approval process to be completed, especially if there are external organisations involved. It is important that you begin to think about and apply for ethical approval as early as possible.

If you require a **Disclosure and Barring Service (DBS)** check to undertake aspects of your research, please email <u>doctoralcollege@surrey.ac.uk</u>.

# 8. Researcher Training and Development

Your future success as a professional researcher will require you to think strategically about your abilities, expertise and how these need to develop. Our Doctoral College provides training and advice to help you identify and move forward with your professional development. This includes broad research skills and career planning support.

Vitae is the national organisation established to champion the professional career development of research degree students and research staff. You will find it helpful to refer to their <u>website</u> which includes a wide range of resources. You will also find it helpful to refer to the <u>Researcher Development Framework</u> which was developed by Vitae following a study of the skills used by researchers throughout their careers. The Researcher Development Framework details the knowledge, attitudes and behaviours of successful researchers.

The Researcher Development Framework is structured into four domains:-

- A. Knowledge and intellectual abilities
- B. Personal effectiveness
- C. Research governance and organisation
- D. Engagement, influence and impact

The training provided for postgraduate research students at the University of Surrey has been developed with reference to the Researcher Development Framework.

### **8.1 Researcher Development Programme**

The Doctoral College runs a Researcher Development and Employability Programme which provides professional development and career support for the range of skills outlined in the Researcher Development Framework. It is delivered by members of the Researcher Development and Employability (RDE) team which is made up of highly qualified staff who create and deliver transferable skills training, development opportunities, one-to-one coaching and support including mock vivas and writing consultations. Additional workshops are also delivered by key staff from other departments within the university.

Crucially, they support all Surrey researchers no matter where in the world they are conducting their research, utilising a variety of technologies. RDE continually works with the PGR community to improve and build our provision, and you are always welcome to offer suggestions and work with team members to ensure your needs and those of your fellow researchers are being met.

More information about the programme of workshops and training opportunities can be found on the <u>Postgraduate researcher workshop web pages</u>. For additional information or queries, email RDP@surrey.ac.uk.

Please note that the training is very popular, so you are advised to book yourself onto training sessions well in advance. If you book a session, you must also ensure that you attend. If you are unable to attend, make sure that you inform the RDE so that somebody else can take your place.

### **Dr.App Training Videos**

The Doctoral College also provides licences for our research students and supervisors to use Dr.App, a web-based resource for phones, tablets and computers. It contains helpful videos covering everything from working with supervisors and preparing for the viva examination to coping with stress and mental health challenges, from research impact to networking skills. Click here to learn more about Dr.App and get access: <u>Dr.App Training Videos for PGRs</u>.

#### 8.2 Identifying your training needs

The University recognises that our postgraduate research student population is diverse, welcoming different professional and educational backgrounds, as well as different levels of experience. The training that we offer is tailored to meet your individual needs as a professional researcher so that you can dedicate your time to developing the skills you need for a successful career.

Almost all our workshops are voluntary and can be attended at any point in your doctorate. However, there are **three compulsory workshops**, designed to help you find your feet and prepare for the big milestones within your project:

Workshop	When (full-time)?	When (part-time)?
----------	-------------------	-------------------

Welcome to your Doctorate	Within 3 months of registration	Within 36 months (about 3 years) of registration
The Confirmation Process	, .	2 or 3 months before you plan to submit your report (usually within 15 – 27 months of registration)
The Viva Examination	Within 33 – 48 months of registration	Within 48 – 96 months of registration

It is the responsibility of all PGRs to manage their professional development with the support of their supervisory team. You should share your Professional Development Plan with your supervisors and together identify transferable and research training sessions, areas for independent development, as well as opportunities to engage with the wider research community. At doctorate level, much of your research skills training may be in the form of independent study or bespoke one-to-one training with another researcher, rather than taught courses. This is expected and is just as important as attending courses or workshops. Keeping a record of the activities you undertake is also extremely helpful. RDE can often point you towards useful external resources if we do not offer a workshop on a specific topic.

Professional development includes presenting and writing about your research, as well as networking with people both within your discipline and beyond. Take the time to identify not just what you need to complete your doctorate, but where you'd like to be in a few years' time, or which skills may be useful for alternative careers. Unsurprisingly, your training needs will develop over time, so you are advised to discuss your professional development needs with your supervisor throughout your degree to ensure you are taking advantage of the training and opportunities available.

Your engagement with professional development activities, including compulsory workshop attendance, will be monitored through the six-monthly progress reviews.

# 8.3 Writing development and support

Academic writing is more than just a skill of being able to communicate your ideas and research with clarity and confidence. It is inextricably linked with your developing identity as a researcher and academic, and with your discipline's ways of knowledge making. As such, academic writing can vary quite significantly between disciplines. Especially if you have come into your doctorate from industry, if English is not your first language, or if you are switching between disciplines, it may take a good while, and a lot of work, to feel confident in your academic writing. We have an extensive range of writing development opportunities specifically for doctoral researchers, offered both virtually and in person. These include:

### ☐ Two-hour workshops, open to researchers at any stage, organised into three suites:

- o Core Writing Skills ('Writing Critically', 'Writing Coherently' and 'Writing Concisely');
- o *Thesis Writing* workshops (e.g. 'Getting Started with Thesis Writing', 'Writing a Confirmation Report' and 'Writing a Thesis by Publication');
- o Communicating Research and Getting Published (e.g. 'Getting Published 1: Writing for Academic Journals', 'Getting Published 2: Publication Strategy and Peer Review' and 'Writing with Impact for Diverse Audiences').
- One-to-one writing consultations. These tutorials enable you to get detailed feedback on a piece of writing you have produced and guidance on how you can improve your style, structure or language. (N.B. This is NOT a proofreading service). Other topics that can be discussed include overcoming writer's block, avoiding procrastination and developing your voice as an academic writer.
- □ **Virtual Writing Retreats and in-person Writing Cafes.** These are intensive writing sessions supported by a writing mentor who will encourage and inspire you to make progress on your writing targets. Every year we also offer an intensive two-day *Summer Writing Retreat* which is run in a hybrid format (i.e. you can join either in person or online).
- AcWriMo (Academic Writing Month). This is an international initiative which takes place every November, motivating researchers and academics to commit to writing targets and achieve them. At Surrey, we encourage everyone to set themselves specific writing goals, and the month is packed with virtual and face-to-face activities, workshops, and bespoke events and initiatives.

For more information about any of the workshops and/or initiatives detailed above, please contact rdp@surrey.ac.uk.

# 8.4 Your Career Development – Employability & Engagement

A key component of any professional development plan should be focused on preparing for the next step in your career. To support this the Doctoral College has a specialist Careers Consultant with expertise in career management and employer engagement, who is part of the Researcher Development & Employability team. They are dedicated to supporting Researchers in all aspects of career progression: career development and planning, to make the most of the opportunities presented by the doctorate; decision making; identifying and strengthening employability skills; advising on opportunities for you to explore different career choices: advising on building connections with doctoral alumni and employers; and aiding you into a successful career as you move on from your research degree at Surrey. Researchers can be ambitious in their career aspirations but are advised to start planning early if so.

The team's external partnerships and relationships across the University provide our PGRs with opportunities to collaborate, network, gain skills and experience.

# Opportunities and resources for your career development include:

- Careers consultations, impartial and confidential. A meeting with a careers coach offers the
  opportunity to explore careers ideas and interests, identify transferable skills, explore
  options, both in academia and beyond, and discuss career development plans. Practical
  support is also available, from reviewing applications to interview practice.
- Wherever you are in your career journey, whatever your background or experience, whether you have a clear sense of direction or not, everyone is welcome. Book via Pathfinder or email rdp@surrey.ac.uk
- Employability workshops, covering a range of topics from CV writing to Preparing for a Post
  Doc, to Options beyond academia, and Interview Preparation, can be found here:
   https://www.surrey.ac.uk/researcher-development-programme/development
- Work Placement / Internships An early discussion with the DC Careers Consultant can support you with identifying opportunities and making applications (see how to book under Careers Consultations above).

**Surrey Pathfinder** is an online resource supported by the Careers and Employability department, providing advice and information on job search and career planning, and details of events and opportunities: <a href="https://surreypathfinder.careercentre.me/">https://surreypathfinder.careercentre.me/</a>

Postgraduate researchers may also find it helpful to consult:-

- <u>Vitae</u> which supports the professional development of researchers and <u>Jobs.ac.uk</u> both of which have useful tips, guidance and support for building a career within or outside of academia.
- <u>Euraxess</u> a pan-European initiative delivering information and support services to professional
  researchers toolkit for career exploration which is available on their website. <u>No Limits</u> toolkit
  for career exploration which is available on their website.
- International researchers new to the UK may also find this resource useful:
   International Employability resources

**Employer engagement opportunities** - every year we aim to support events intended to bring Surrey Researchers together with employers and businesses across a range of sectors. There may be opportunities to present your research at these events. Making connections with a wide range of academic colleagues and professionals is important for any career path.

We are here to support you at all stages of your career journey, so please do not hesitate to get in touch by emailing rdp@surrey.ac.uk.

### **8.5 Central Space for Postgraduate Research Students**

The Doctoral College also acts as a central hub for postgraduate research students and space is available to use for meetings or quiet work. Some of this space needs to be booked in advance and not all rooms have IT and audio-visual equipment. For more information and details on how to book space, please email the <u>Doctoral College</u>.

### 8.6 Discipline-specific training

In addition to the extensive suite of training and development opportunities provided centrally, there are also many opportunities for you to attend training that is closely related to the type of research you are conducting.

Some online training sessions are accessible via SurreyLearn. These are "getting started" sessions providing introductions to different technologies and the range of topics will be gradually extended. Log in at <u>SurreyLearn</u> and search for 'FEPS Postgraduate Research'. If you require any other information, help or guidance please contact the relevant PGR Director, the Associate Dean (Doctoral College), FEPS or one of the PGR administrators.

It is typical that a PGR might benefit from attending one of our UG or PGT courses from within the Department or wider faculty. Academic staff will normally be happy for any PGR to 'sit in' on a course but attendance at that course is non-credit bearing and would not normally include summative assessment (although a lecturer may often be happy to provide formative assessment).

If a training need is identified, it should be discussed with the supervisor in the first instance before contacting the module leader via email. They will be able to arrange enrolment on the course via Surrey learn.

Each School offers PGRs specific training in research and to understand what is available students should speak to their **PGR Director**. Your PGR Director will be able to provide information about:

Any workshops designed specifically for PGRs which will be helpful in your discipline,
for example statistics, research methods etc
Any Master's modules PGRs might benefit from attending
Information about research seminars
Information about journal clubs
Information about opportunities to present work to peers and staff
Information about any local conferences/research events PGRs can attend

### 8.7 The PGR Conference

Each year a University-wide PGR Conference is held. This researcher led conference brings together PGRs and ECRs from across the University to share their research through posters or oral

presentations. The conference is a vibrant and intellectually stimulating event which enables you to network with academic staff and research students from a variety of disciplines.

All postgraduate research students are strongly encouraged to get involved in the conference either by presenting (posters or oral presentations), chairing sessions, or assisting the organising committee.

### 8.8 Teaching opportunities

The University recognises that the engagement of PGRs in supporting the learning and teaching of others can provide you with an opportunity to develop a range of personal and academic skills and can help to reinforce knowledge of your subject area.

A <u>Code of practice for postgraduate researchers who support teaching</u> has been developed to establish the principles and procedures on which PGRs will be used to support the teaching and learning of taught students. The Code seeks to ensure that wherever PGRs are involved in teaching, appropriate measures are in place to safeguard their experience, those of the students they teach, and the University. The University is dedicated to ensuring that you have been given appropriate support and guidance for your teaching, enabling you to develop your skills and teaching practice.

There are certain restrictions on who can be involved in some teaching activities so please make sure that you refer to the Code of practice to check what you are eligible for before applying.

Those interested in demonstrating must take the <u>'Demonstrating in Laboratories</u> workshop through the Researcher Development and Employability Programme in the Doctoral College prior to undertaking any demonstrator role. Those interested in teaching seminars, lectures or small classroom style teaching must take the 'Preparing to Teach' workshop through Surrey Institute of Education. Any PGR involved in providing undergraduate assessment must also take the <u>'Assessment and Feedback'</u> course through <u>Surrey Institute of Education</u>. PGRs interested in further developing their skills may take additional courses and may want to consider undertaking the PG Certificate in Learning & Teaching.

# 9. Progress Monitoring

Details regarding Progress Monitoring can be found on MySurrey here:

https://research.surrey.ac.uk/assessments/reviewing-your-progress

# 9.1 Interim and end of year review report

Your progress will be formally monitored every six months through the interim and end of year review procedures.

- The interim review is completed by PGRs between March-May each year so that supervisors and local PGRDs can complete and submit their sections to the Research Degrees Programme Management Team by the end of May.
- The end of year review is completed by PGRs between September-November each year so
  that supervisors and local PGRDs can complete and submit their sections to the Research
  Degrees Programme Management Team by the end of November.

The purpose of the reviews is to:-

- provide you with feedback on your progress
- ensure that you are on course to complete by your deadline
- keep under review your training and development needs and to ensure that you have attended the recommended workshops
- address any weaknesses in your research
- discuss any concerns you may have and to bring these to the attention of your PGRD and/or the Admission, Progression and Examination Subcommittee (APESC)

The review process is **mandatory** and you could end up on the unsatisfactory academic progress procedure if you fail to submit your review within the prescribed timeframe. Your progress must be formally reviewed every six months, starting at the first review point following your registration (interim review for September and January starters, and end of year review for April and July starters) until submission of your thesis.

Please take the review process seriously and think carefully about how you capture your progress and achievements so that it is clear to your supervisors that you are meeting the targets they have set for you. Remember that this is your time to receive feedback and address any concerns

so be honest and open in the review. It is also advisable to attend <u>the workshop</u> offered by the Researcher Development and Employability team on how to get the most out of your reviews.

You will receive a progress review email from a member of the research degrees programme management team (RDPM) for each review period. This will provide clear information and instructions regarding this exercise. **This will be followed by an email** from your School (dept/centre) providing you **with a submission deadline.** 

# 9.2 The second year (FT) and fourth year review (PT)

At the point you reach between 24-30 active months' registration as a full-time PGR and 48-54 active months' registration as a part-time PGR, your progress review will include some additional requirements as set out below. Active months are all those months you have been enrolled and not absent from the programme, so will exclude any periods of temporary withdrawal.

The purpose of this enhanced review is to help prepare you to finish your research project and submit your thesis for examination.

In addition to the standard progress review, the second/fourth year review will require submission of:

- a copy of the examiners' report from the Confirmation examination
- a Gantt chart plan for completion (i.e. submission of thesis for examination)
- a draft table of contents for the thesis

Your Confirmation Examiner/s, or PGRD if neither examiner is available, will be asked to attend your progress review meeting or review the paperwork.

### 9.3 Outcomes of reviews and unsatisfactory academic progress

Your progress will be rated at each formal review from one of the following outcomes:-

On track to submit within my funding period □ (if funded)

Outcome of progress review will show as 'submission within registration' in Surrey Self-Service

On track to submit within my registration period but beyond my funding end date $\square$ (if funded)
Outcome of progress review will show as 'submission within registration' in Surrey Self-Service
On track to submit within my registration period □ (if self-funded)
Outcome of progress review will show as 'submission within registration' in Surrey Self-Service
Concerned about the likelihood of submitting within my registration period □
Outcome of progress review will show as 'submission may exceed registration' in Surrey Self-Service
Unlikely to submit within my registration period □
Outcome of progress review will show as 'unsatisfactory progress'* in Surrey Self-Service

# **Unsatisfactory Progress**

If your progress is deemed to be 'Unlikely to submit within my registration period' (shown as 'unsatisfactory progress' in Surrey Self-Service), or if your review is not completed in the required timescale, then the Regulations for research degrees (A2) require that you are placed on unsatisfactory academic progress (UAP) procedures. In this situation, you would be given at least three months to meet specified targets. Your progress would be reviewed at the end of the three months in a review meeting chaired by an Associate Dean (Doctoral College) and would include an academic outside of the supervisory team. If the targets have not been met to the required standard, then it is a regulatory requirement that registration for the degree is terminated. Unsatisfactory academic progress procedures can be invoked between reviews if serious concerns about a PGR's progress emerge.

In the unlikely event that you find yourself on unsatisfactory academic progress procedures, it is important that you do not panic. You should think carefully about the targets that have been set and discuss them in detail with your supervisors to ensure that you are clear about what is expected of you. Remember no supervisor wants their PGR to fail and the purpose of unsatisfactory academic progress procedures is to take decisive action to get PGRs back on track so that they can complete in the required timescales.

The process for overseeing the outcomes of reviews is managed by the Associate Dean (Doctoral College); the outcomes are then reported to the Admission, Progression and Examination Subcommittee (APESC).

# 10. Confirmation of Registration

#### **10.1** What is confirmation?

Confirmation is the formal examination for which you must write a Confirmation Report and undergo a *viva voce* exam. All PGRs, including MDs are required to go through the Confirmation process to 'confirm' their registration. A doctoral researcher's skills and their project should evolve considerably over the first year of study. It is, therefore, appropriate to assess progress at this point and provide formal feedback to ensure the project is on track for timely submission. As such, the confirmation process is a formal assessment of the feasibility of on-time completion of the doctorate based on progress within this first year. It is a peer-review process in which academics outside of the supervisory team read a report written by the doctoral researcher about their progress thus far and their plans for future research and then examine the candidate by *viva voce* (oral exam). Both the confirmation report and *viva voce* are essential components of this assessment and the doctoral candidate must perform satisfactorily in both elements to continue with their doctorate.

You should look upon the Confirmation as a useful exercise to receive external opinions (from your assessors) on your project, and as a time to take stock of where you are in your research and how you are going to complete your research degree on time. At least one of your supervisors should be present at the *viva voce* as it is useful for them to hear the feedback you are given. There will be an opportunity at the end of the *viva voce* for you to speak to your confirmation examiners in the absence of your supervisor/s.

To help you prepare for the process and ensure you fully understand the requirements, you are required to take the <u>Confirmation Process workshop</u> before undertaking the examination. It is recommended that you register for this workshop approximately 3 months prior to submitting your report, as the workshop will provide guidance on both the written report and the *viva voce*.

Please also refer to this link <a href="https://research.surrey.ac.uk/useful-documents">https://research.surrey.ac.uk/useful-documents</a> to access information and guidance pertaining to **Confirmation** 

### 10.2 When does Confirmation occur?

The regulations for research degrees indicate that confirmation for students registered on a traditional PhD or MD degree, will normally take place **9 to 15 months** after initial registration for **full-time** students and **18 to 30 months for part-time** students, however faculty specific rules do apply to the timing of the confirmation.

For those programmes with a structured taught element the confirmation must take place no later than 24 months for full-time PGRs and no later than 48 months for part-time PGRs. If you find that you cannot make the deadline by which you need to submit your confirmation report, you may need to apply for the consideration of Extenuating Circumstances (ECs). Please see Section 11 of this Handbook.

If you find that you cannot make the deadline by which you need to submit your confirmation report, please discuss a request for extenuating circumstances initially with your supervisor and postgraduate research director.

The principal aims of the Confirmation procedure are as laid out in the Code of practice for research degrees.

### 10.3 Who will my Assessors be?

You and your supervisors should discuss who would be appropriate assessors for your Confirmation. You need two assessors, normally both members of University staff but one could be external if you are a member of staff. One of the assessors will be designated to chair the *viva voce* examination. Your supervisor will complete the <u>Confirmation Examiner Nomination form</u> naming these examiners and submit it in advance to your PGRD for approval.

### 10.4 What do I need to do for the report?

Faculty and, where applicable, department/school specific guidance documents detailing the format and content of the confirmation report can be found by logging in to <a href="SurreyLearn">SurreyLearn</a> and navigating to the Doctoral College Common Room/The PhD Process.

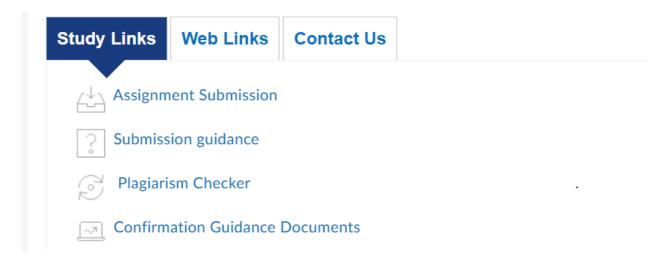
Please also refer to this link <a href="https://research.surrey.ac.uk/useful-documents">https://research.surrey.ac.uk/useful-documents</a> to access information and guidance pertaining to **Confirmation documents** 

### **Submitting your report**

How to submit your Confirmation Report using SurreyLearn Go to the SurreyLearn homepage and then the "FEPS Postgraduate Research" page.

Homepage - FEPS Postgraduate Research (surrey.ac.uk)

Once on this page, you will see the following:



To submit your work, either click the "Assignment Submission" link in this area or at the top of the screen by clicking 'Assignments', this will load a screen with the appropriate assignment submission folder shown, click on this link and you will be able to submit your Confirmation Report. Confirmation Reports will be checked by Turnitin (a plagiarism checker) for plagiarism without saving the work in the database.

If you experience issues uploading your confirmation report please view the Help section on the SurreyLearn page or contact: SurreyLearnHelp (TEL) SurreyLearnHelp@surrey.ac.uk.

The principal supervisor will advise the student of the date, venue and time of the confirmation examination. The student will be required to submit the report at least ten working days prior to the examination.

Please refer to your School (dept/centre) Confirmation Guidance regarding any local arrangements. Confirmation Guidance Documents are available via here <a href="https://research.surrey.ac.uk/useful-documents">https://research.surrey.ac.uk/useful-documents</a> which links to the Doctoral College SurreyLearn Page.

This should include the requirement to submit electronic copies or soft-bound copies to your supervisor and how your report is submitted to the examiners.

Your supervisor should be responsible for your confirmation viva arrangements. However, that is determined at local (school) level.

# 10.5 Confirmation Outcome(s)

Your assessors will question you directly about your Confirmation Report and about the background to and context of your research. They will make their recommendations and give you feedback accordingly. The signed confirmation review form with the examiners' recommendation, which has been signed off by your Programme Director MUST be sent to the appropriate administrator within the Research Degrees Programme Management Team who will seek formal approval from your Faculty Associate Dean (Doctoral College).

You may pass first time, or you may be told to revise the report and resubmit after three months (six months for part-time PGRs), with or without another *viva voce*.

You will then receive a formal notification email from a member of the research degrees programme management team who will also update your confirmation review event.

Student records will update your status from PhD Probation to PhD Confirmed.

Please liaise with your Supervisor or School (dept/centre administrator) regarding the process if your Outcome is PhD Confirmed with minor amendments.

#### **Resubmission outcome**

If you are asked to resubmit, it means that the assessors have some doubts as to one or more of the criteria above, and they will articulate their comments and requirements on the <u>'Confirmation review form (first attempt)'</u>. It may not be through any fault of yours, it may be due to problems with the feasibility of the project, for example, that have come to light during the first year of the research. If you need to resubmit your confirmation report, you should follow the same process as for the first submission. You will be given a deadline by which to submit your report, which may include a further *viva voce*. Following the examiners' assessment, the Research Degrees Programme Management (RDPM) Team will receive the examiners' report via your School

administrator or PGRD and the RDPM will then send it for review and approval to the Faculty Associate Dean (Doctoral College). The PGR will be formally notified of their outcome by a member of the Research Degrees Programme Management Team.

**Please refer** to your School for any local requirements and procedures including the route for submitting your revised report to the examiners.

If you do not pass Confirmation at the second attempt, the assessors may recommend on the 'Confirmation review form (final attempt)' that you change from PhD or MD to MPhil registration, or they may recommend termination of your registration altogether; both of these outcomes would require approval by APESC. International PGRs studying on a student visa should be aware that a change from PhD or MD to MPhil may have visa and funding implications. These last outcomes are rare, but they do happen, so you MUST take your Confirmation seriously, however, if all is going well, as it does for most people, it will be a useful experience.

You will then receive a formal notification email from a member of the research degrees programme management team who will also update your confirmation review event.

Student records will update your status.

# 11. Extenuating Circumstances

If you are unable to meet an assessment deadline you may be asked to submit an application for <a href="Extenuating Circumstances"><u>Extenuating Circumstances (ECs)</u></a>. It is important to check with your Research Degrees <a href="Programme Management Team contact before applying for ECs">Programme Management Team contact before applying for ECs</a> as this process is managed outside of the Doctoral College, by a central team within Academic Registry, and it may not be the most appropriate route for you.

### Only in the following situations may you be required to submit an application for ECs:-

- You cannot meet the deadline for an assessment for a credit bearing module or taught unit that you are taking as part of your doctoral programme;
- You cannot meet the deadline for your confirmation report;
- You cannot meet the deadline for a target set as part of unsatisfactory academic progress procedures.

#### **IMPORTANT**

Unlike taught students, **you do not apply** for a <u>temporary withdrawal or other Changes to registration</u> through the ECs process. **The EC assessment team are not responsible** for making decisions regarding temporary withdrawal requests.

Any such <u>Changes to Registration</u> **MUST** be submitted by the PGR via 'Research Programme Details' (Click the + icon) and then Click on the 'Requests' tab in their <u>Surrey Self-Service</u> record.

Changes to registration requests are then considered at APESC the monthly Admission, Progression and Examination Subcommittee (APESC) committee process, which is explained in Section 13.

# 12. Completing Status

Completing status (or 'writing-up' as it is sometimes referred to) is a reduced fee status within your period of registration which you can transfer onto once you are within six months of submitting your thesis. Transferring to completing status <u>does not</u> extend your registration end date, it is a change to your mode of attendance. You can transfer to completing status as a full-time or a part-time PGR.

You will continue to receive full supervision whilst on completing status and you must continue to log your monthly supervision meetings. You will also be expected to submit Progress Reviews whilst on completing status in line with the timeframes advertised by the Research Degrees Programme Management (RDPM).

Usually once you submit your thesis you will no longer be in receipt of further maintenance payments. For any queries regarding maintenance payments whilst on Completing status, please liaise with your supervisor, in the first instance.

Transferring to completing status before submitting your thesis is not a requirement and funded PGRs may find that it is not beneficial for them to transfer to this status as it may impact on their eligibility for any remaining stipendiary payments. Check your funder's terms and conditions before making a request to transfer to completing status if you are still within your funded period.

Once your funding comes to an end you will be charged full fees until your application to transfer to completing status has been approved.

Further information can be found here: <u>Completing status | Research | MySurrey.</u>

Once you and your supervisors are confident that you will be able to submit your thesis for examination within the next six months, you may apply to transfer to completing status by submitting a request via your Surrey Self-Service Research programme record under the Requests tab, further details are in <u>Section 13</u>. The request to transfer to completing status **MUST** be accompanied by the completed Exam Entry Form, which is made as a separate request. Please also refer to <u>Section 14.1 Stage 1 Entry form for Examination</u>

The criteria governing the transfer to completing status applies to both full-time and part-time PGRs and is set out in the Regulations for research degrees (A2) as follows:-

- Your supervisor can confirm that they are satisfied that submission of the thesis within six months is feasible
- You are only making use of the University's general facilities
- You are no longer using any specialist facilities (such as laboratories)
- You are receiving advice solely related to the writing up of the thesis

You will be asked to confirm that you have met the regulatory requirements for transfer and you will be required to provide the following:-

- A detailed plan for submission covering both yours and your supervisors' responsibilities for submission within the next six months or by the end of the registration period if completing status will last for fewer than six months
- A draft table of contents for the thesis (uploaded to the completing status request)
- Your Examination Entry form (separate request to be made via: Surrey Self-Service/Research programme details/Requests tab)

The request needs to be endorsed by your supervisor, your local PGRD and the Associate Dean (Doctoral College). It will then be considered by the Admission, Progression and Examination Subcommittee (APESC).

Please note that retrospective applications for completing status will not be considered unless there are valid reasons supported by evidence.

Please request to start your period on completing status **from the 1st of the month** to avoid paying full fees for that month.

Please also note that your transfer to completing status <u>cannot</u> be considered by the committee until your Examination Entry Form has been requested by you, completed by your supervisors, and approved by the postgraduate research director. This means the form is at the stage where it can be scheduled to APESC.

You must submit a thesis for examination before the end of the completing period. If problems arise and you are unable to submit your thesis for examination by the end of your approved completing status period, you must request an Extension to Completing Status via the Research Degrees Programme Management (RDPM). If you reach the maximum period of registration and you have not submitted your thesis, your registration may be terminated unless you have valid grounds for an extension.

# 13. Changes to registration

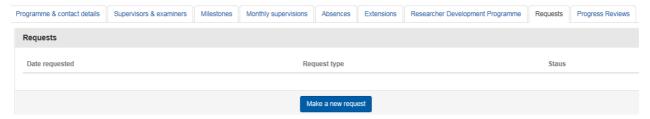
Requests for changes to your registration are made via your <u>Surrey Self-Service</u> research programme record. You should find the system intuitive, however if you need further help or advice, please contact the Research Degrees Programme Management (RDPM) team. <a href="https://research.surrey.ac.uk/guidance-and-support/research-degrees-programme-">https://research.surrey.ac.uk/guidance-and-support/research-degrees-programme-</a>

### management

The approval stages are completed online. You can view each stage of your request including its <u>status</u> and who the <u>action is with</u> online via your Surrey Self Service record. The requests available to you are:

- Temporary Withdrawal and sick pay
- Change of Mode (part-time, full-time)
- Change of Programme
- Change of Supervisor (including additions)
- Transfer to Completing Status
- Exam Entry Form
- Extension to Registration
- Permanent Withdrawal

There are several tabs within your research programme record (see below) and the tab under which you make requests for changes to registration is called 'Requests'.



Once a PGR submits a request then the next stages of the online APESC workflow is that the request will be sent to both your supervisors, but **only requires one surrey supervisor** to approve the online request, before being referred to the School (dept/centre) postgraduate research director and then arriving with the Research Degrees Programme Management (RDPM). The Research Degrees Programme Management Team will then refer that request to

the Faculty Associate Dean (Doctoral College) for review, prior to scheduling to APESC for consideration.

You can view and track the status of your request via your Surrey Self Service under the Requests tab and you will be informed of the outcome of your request, usually within 10 working days of the meeting, by email from Student Records or from the secretary to APESC, depending on the type and outcome of the request.

**APESC meetings** are normally held on a Wednesday in the middle of each month. The 2024-25 calendar can be found under section **3.2 Governance** 

### 13.1 Temporary withdrawal and sick pay

If, during your registration, illness or personal difficulties of sufficient gravity have prevented you from progressing your research, you may be granted temporary withdrawal for a period necessary to overcome the difficulty. Your period of registration will be adjusted by the same number of months you are temporarily withdrawn, so you do not need to be concerned that it will adversely impact on your maximum period of registration. You will not normally be permitted to interrupt your studies for more than twelve months aggregate unless the absence is for parental leave.

Periods of temporary withdrawal must be agreed in advance and retrospective applications will require supporting evidence. PGRs can request a period of temporary withdrawal via their Surrey Self-Service research programme record, as described above. If the reason for the temporary withdrawal fits within the criteria for sick pay, as set out in the <u>Absence Policy</u>, then you will be granted sick pay. Your eligibility will depend on your funding arrangements. Please read the Absence Policy for eligibility criteria. The <u>Regulations for research degrees (A2)</u> outline the sorts of circumstances under which a period of temporary withdrawal would be agreed. You should also contact your funder to check their procedure for requesting temporary withdrawal.

During the temporary withdrawal, you will temporarily leave your programme of study and lose your registration status as an enrolled PGR. No supervision will be received, and specialist facilities such as labs cannot be accessed during this time. You will not be charged the tuition fee

for each **complete** month that you are temporarily withdrawn (it is therefore advisable, if possible, to start and finish a temporary withdrawal on the 1<sup>st</sup> of the month).

If you are requesting temporary withdrawal for reasons other than illness, jury service, or parental leave, and you are a funded doctoral student, you have the option of continuing to receive your funding package for up to a maximum one month in a 12-month period, unless the temporary withdrawal is a funded internship or paid placement. Funding will then be suspended from the end of the first month of temporary withdrawal until you return to your doctoral degree.

You must inform your supervisor and the Research Degrees Programme Management Team when you return to your studies to ensure that your record is updated from 'absent' to 'enrolled'. Only resume study on the basis that you are fit to do so and that the difficulties have been overcome satisfactorily. If you are not able to return to your programme you must submit a new request to adjust your period of temporary withdrawal. You must advise your supervisors and the Research Degrees Programme Management Team as soon as problems arise and keep them updated about matters affecting your studies.

If you are at the writing stage and have employment commitments, then consider transferring to part-time (writing-up) mode of attendance. If this change of mode of attendance is approved then this will result in a change to your registration end date.

### **International PGRs**

Requests for temporary withdrawal made by PGRs studying on a student visa will also be checked by the University's Immigration Compliance team to ensure that the request complies with Home Office rules on length and frequency of absence. Currently a PGR on a student visa may request 60 days or less temporary withdrawal if they wish to remain in the UK. For longer periods of temporary withdrawal, the University would be required to withdraw sponsorship. In all cases it is advisable to discuss your case with the International Student Advice via Surrey Support using this link Surrey Support or you can make an appointment here https://visas.surrey.ac.uk/contact-us particularly if you are interested in applying for the <u>Graduate Visa</u> following completion of the research degree.

Requests for temporary withdrawal must be made either at the time they are needed or in advance. Backdated requests cannot be considered.

For more information relating to visa responsibilities and changes your registration can be found here: <a href="https://research.surrey.ac.uk/useful-documents">https://research.surrey.ac.uk/useful-documents</a> - Postgraduate researchers - visa responsibilities and changes to your registration

**Do not** submit an extenuating circumstances application this will not adjust your registration end date.

### If you are a Student Visa holder please refer to this link

<u>https://research.surrey.ac.uk/useful-documents</u> and the International postgraduate research students section.

### **13.2** Extension to registration

Any request for an extension to the maximum period of registration must be made well in advance of the deadline. Extensions to registration will only be permitted in exceptional circumstances in accordance with the criteria set out in the Regulations for research degrees (A2). Poor planning or lack of academic progress would not, for example, be acceptable reasons. Applications from PGRs who have exceeded their original submission deadline and who cannot provide evidence in line with the criteria set out in the regulations and which demonstrates why they were prevented from applying for an extension in advance of their end of registration, will have their registration terminated.

#### **International PGRs**

International PGRs studying on a student visa are advised to contact International Student Advice (ISA) for immigration advice on the implications to their visa status of making a request for an extension to registration, particularly if they are interested in applying for the <u>Graduate Visa</u> following completion of the research degree.

For general advice please check the information on <u>MySurrey Help</u> first or if you have a specific query please utilise <u>Surrey Support</u>. You can also book appointments with ISA at <a href="https://visas.surrey.ac.uk/contact-us">https://visas.surrey.ac.uk/contact-us</a>.

Do not submit an extenuating circumstances application this will not adjust your registration end

date.

13.3 Other changes to registration

Other less common requests for changes to registration include changes to mode of attendance

(MoA), for example, from full-time registration to part-time registration, and change of

programme. If you wish to consider applying for these types of changes, please discuss them with

your supervisors, check any funding implications, and if you are studying on a student visa, speak

to the International Student Advice team (contact details above).

If you are considering permanently withdrawing from your research degree, it is important that

you discuss this with your supervisory team and/or your local PGRD before making the final

decision. Speaking to others helps PGRs to understand if withdrawal is the right option for them

and often, they discover other sources of help and support which mean that permanent

withdrawal can be avoided. However, the decision to withdraw might be the right thing to do in

some circumstances.

**Please note**: There is no exit award if you decide to permanent withdrawal from your programme.

61

#### 14. Thesis submission and the viva voce examination

All doctoral degrees and MPhils are examined by thesis and an oral examination commonly known as the *viva voce* examination. The section that follows is designed to help you navigate the examination process by setting out the key stages involved. You should take the compulsory <u>Viva Examination</u> workshop run by the Doctoral College Researcher Development and Employability team around six months prior to your *viva voce* date, to ensure you fully understand the requirements and expectations of this final assessment.

### **14.1 Stage 1: Entry Form for Examination**

PGRs should initiate the process of approving the examiners for their final examination by submitting an Exam Entry form request via their Surrey-Self Service research programme record. The Exam Entry form must be completed and submitted at the same time as the request for transfer to completing status is made. If no request to transfer to completing status is made, then you must ensure that the Exam Entry Form is submitted no later than two months before you intend to submit your thesis. Failure to submit the form in time will delay your viva voce examination.

You will be required to provide the title of your thesis, an indication of the date you intend to submit your thesis, confirm whether you will be submitting your thesis in the format of a monograph **or** papers prepared for publication, and agree to the academic integrity declaration. The thesis title you provide on this form will be the final title of the thesis you submit for examination. If you do need to amend the thesis title after this time, you should contact the Research Degrees Programme Management Team and they will advise whether the change requires the approval of the Faculty Associate Dean (Doctoral College).

If a PGR does not submit their thesis by the date provided on the exam entry form, then they do not need to change that date. The date given is often a guide to when they intend to submit. However, we would expect that date to be a reasonable proposal of when they are intending on submitting their thesis. Submission should be within registration.

Your supervisors will be required to complete the section which asks for details of the nominated examiners (normally one internal examiner and one external examiner). Your supervisors will be asked to confirm that the examiners meet the regulatory criteria for appointment, and they will also be asked to provide a written justification for the selection of the examiners and why they are well-suited to examine your project. Your supervisors will ensure your examiners are aware of the format in which you will be presenting your thesis for examination: as a monograph or as papers prepared for publication.

### 14.2 Stage 2: Approval of nominated examiners

Once your Entry Form has been submitted by your supervisor and agreed by your local PGRD, the Research Degrees Programme Management Team will ask your Associate Dean (Doctoral College) to review the information on the form and the examiners' on-line profile to check the suitability of the examination panel. The Exam Entry Form request will then be scheduled to a meeting of the Admission, Progression and Examination Subcommittee (APESC) for final consideration.

The Research Degrees Programme Management Team will contact your supervisors if there is a problem.

We highly recommend that the viva is not arranged BEFORE the exam entry form is approved by APESC. If APESC reject the application that will then result in a viva being cancelled/rescheduled.

Therefore as advised in the research regulations (please refer to the A2: regulations for full details) **A2**: regulations for research degrees — **Examination entry form** paragraph for full details. <a href="https://www.surrey.ac.uk/quality-framework/academic-and-student-regulations-and-procedures">https://www.surrey.ac.uk/quality-framework/academic-and-student-regulations-and-procedures</a>

**IF** you submit your thesis **BEFORE your exam entry form** has been approved by APESC then we are unable to log your thesis on your <u>Surrey Self Service</u> record at the that time.

Only once the exam entry form is approved at APESC, this then triggers the thesis submission event, which allows us to then log the thesis submission date against your <u>Surrey Self Service</u> record.

Once your exam entry form is reviewed and fully signed off by all parties, it will be scheduled to the Admission Progression and Examination Subcommittee (APESC).

Depending on when your online (APESC) exam entry form is approved will determine when it is submitted to next APESC meeting for consideration. <u>Please refer to the APESC calendar in Section</u>.

3.2 Governance for dates and deadlines.

### 14.3 Stage 3: Thesis submission for examination

Details regarding thesis submission can be found on the Research pages of MySurrey here: https://research.surrey.ac.uk/assessments/thesis-submission

If you submit your thesis and your exam entry form has not been approved by APESC that will prevent the research degrees team logging your thesis on the system. When an exam entry form is approved that creates the 'Thesis submission' milestone event on surrey self service, which allows for the date of your thesis submission to be entered onto the system.

The University expects a full-time PGR to submit an electronic version of the thesis for examination within 36 months, or within the funded-period, and a part-time PGR to submit within 72 months. The electronic submission must be emailed to <a href="mailto:researchdegrees@surrey.ac.uk">researchdegrees@surrey.ac.uk</a> no later than the day of your submission deadline, which for a full-time PGR will be month 48 of registration and for a part-time PGR, month 96 (<a href="mailto:the maximum registration deadline">the maximum registration deadline</a>). You can submit the thesis in Word or PDF format and for larger documents we suggest you 'send and share' using <a href="mailto:Drop off Surrey">Drop off Surrey</a> so it is easier for the Research Degrees Programme Management Team to access and dispatch your thesis to the examiners. Please give access to the following email account: <a href="mailto:researchdegrees@surrey.ac.uk">researchdegrees@surrey.ac.uk</a>.

The thesis is the presentation of your original work. While the supervisory team may offer guidance before the submission of the thesis, the ultimate responsibility for the content must rest with you. It is your responsibility to submit the thesis for examination to the Research Degrees Programme Management Team within the maximum period of registration. You may submit without the support of your supervisors; however, it is strongly recommended that you submit a full draft of your thesis to your supervisory team ahead of your submission deadline, allowing enough time to make any amendments they may recommend.

Paragraphs 17-21 of the <u>Regulations for research degrees</u> sets out what the thesis must demonstrate for award of a PhD, an MPhil, an MD and a practitioner doctorate. The criteria for award, as set out in these paragraphs, is the same regardless of whether the thesis has been presented as a monograph or as papers prepared for publication. If, after discussion with your supervisors, you choose to submit a thesis that includes chapters prepared in publication format, please ensure that you read the guidance available on My Surrey <u>PhD by Publication | Research MySurrey</u>. Ask your supervisor, or academics in your field, if they have previously supervised theses in this format so that you can search the <u>online e-thesis repository</u> for examples.

The University does not enforce a minimum word limit for a doctoral or an MPhil thesis. It is, however, recommended that the thesis does not exceed the maximum word limit of 100,000 words in all but the most exceptional circumstances for a PhD thesis, and does not exceed the maximum word limit of 60,000 words for an MPhil thesis. The maximum word limit is exclusive of documentary or statistical appendices, references and bibliography; and is inclusive of footnotes and other appendices.

#### Thesis format and presentation

- All pages should be numbered in one continuous sequence, i.e. from the title page of the first
  volume to the last page of type, in Arabic numerals from 1 onwards. This sequence must
  include appendices, blank pages, etc.
- The title page shall bear the title given on the Exam Entry Form, the PGR's name, the degree for which the PGR is registered, the year in which the thesis is presented (submitted for examination) and the logo of the University of Surrey.
- A summary of the work, not exceeding 300 words in length and a signed Statement of Originality must be included (see below).
- The University does not prescribe a font for use in theses but you must select a font that it is professional. Double or one-and-a-half spacing should be used.
- Thesis should be organised in the following way:
- Title page
- Declaration of originality: This thesis and the work to which it refers are the results of my own efforts. Any ideas, data, images or text resulting from the work of others (whether published

or unpublished, and including any content generated by a deep learning/artificial intelligence tool) are fully identified as such within the work and attributed to their originator in the text, bibliography or in footnotes. This thesis has not been submitted in whole or in part for any other academic degree or professional qualification. I agree that the University has the right to submit my work to the plagiarism detection service TurnitinUK for originality checks. Whether or not drafts have been so assessed, the University reserves the right to require an electronic version of the final document (as submitted) for assessment as above.

- A summary of the work not exceeding 300 words must be included
- Acknowledgements
- Full table of contents and a list of tables, photographs and any other materials
- Main Body of thesis: This is generally organised into chapters but the format and content of these vary according to the subject and discipline. Ask your supervisor for advice and for an example of a good thesis in a similar subject area to your own
- Appendices

Once submitted, your thesis will be emailed to the appointed examiners so that they can prepare a pre-viva report prior to your oral examination. Fees cease once you submit your thesis. The Fees and Funding team calculate tuition fees based on your thesis submission date. If you submit your thesis near to, or at the end of, your registration period you are not required to re-register for the purposes of attending your viva or completing post-viva minor corrections or revisions to your thesis.

Please note that the thesis should not be sent directly to the examiners by you or your supervisors. You should also be respectful of the impartiality of your examination panel, and you must refrain from contacting them directly. In the unlikely event that you think there is a need to contact your examiners, please speak to your supervisor in the first instance. If appropriate, they will arrange for a member of the University staff to contact the examiner. In normal circumstances, there should be no reason for you to contact your examiners.

If your exam entry form has been approved by APESC and the necessary admin checks completed. Your thesis will be dispatched to the examiners by a member of the research degrees programme team-Doctoral College usually within 3-5 working days from submission.

You will receive sufficient email communications pertaining to this process post thesis submission.

# 14.4 Stage 4: *Viva voce* examination

Guidance on preparing for your *viva voce* and the recommendations that are available to your examiners can be found on MySurrey here: <u>Viva voce examination and award | Research | MySurrey</u>

Your *viva voce* examination can take place online, hybrid where your external examiner may join online, or face to face. The Research Degrees Programme Management Team will request details of the arrangements for your exam from your supervisory team. If you have any queries concerning your *viva voce* exam you should contact the <u>Research Degrees Programme Management Team</u>. The <u>Researcher Development team</u> can offer mock vivas to help you prepare for your viva and have developed guidance materials for virtual vivas, which are available on <u>MySurrey</u>.

The viva normally takes place between 30 and 90 days after submission of your thesis. If you would like the viva to take place outside of this period then you must submit a request to the Admission, Progression and Examination Subcommittee (APESC) evidencing that all parties (you, your examiners, and your supervisors) agree to the date of the viva and that it is understood that the viva is taking place outside of the regulatory period. When we have been unable to adhere to the regulatory timeframe for a viva, these statements ensure we have an audit trail to demonstrate that the University has acted in the best interests of the PGR, in the event of any disputes or discrepancies at a later stage. Only with the approval from APESC may the *viva voce* examination exceptionally be held outside of the usual timeframe.

Supervisors are not expected to be present at the *viva voce* examination, but they should be available for the conclusion of the examination when the examiners' give their recommendation and any required corrections to the thesis are explained. In exceptional circumstances, PGRs may make a written case to the Associate Dean (Doctoral College) to request that their supervisor attend the *viva voce* examination. The case must explain why the presence of the supervisor is necessary to ensure the effective and proper conduct of the examination. On the rare occasion

that a supervisor is present at the examination they must not participate in the examination and may only speak if invited to do so by the chair.

**Your supervisor** will liaise directly with yourself and your examiners to arrange a suitable date/time for your viva voce examination. Your viva arrangements are managed locally within your School.

### 14.5 Stage 5: Decision

At the conclusion of the *viva voce* examination, you will be asked to leave the room. For online *viva voces* there will be a virtual waiting room, or you will be asked to dial out of the video conference and contacted when you can rejoin. The examination panel will then confer and are required to select one of the outcomes permitted by the <u>Regulations for research degrees (A2)</u>. You, and your supervisor if they have been waiting, will then be asked to rejoin the (virtual) exam room once this decision has been made.

The most common outcomes are 'award', 'award subject to minor corrections', or 'award subject to minor revisions'. Some candidates are asked to resubmit their thesis with or without a further oral examination. If you do receive a resubmission outcome, please ensure that you meet regularly with your supervisors during the resubmission year so they can support you as you prepare your resubmission. On a very small number of occasions, PGRs have failed the *viva voce* outright or following a resubmission, or have been offered the lower award of MPhil, however this is extremely rare and the University's pass rate at the *viva voce* is consistently very high.

### 14.6 Stage 6: E-thesis deposit

You must complete any corrections required to the satisfaction of the internal examiner or one of the externals if there were two externals at the *viva voce*. In the case of a resubmission outcome, you would have to repeat stage 3 above and stage 4 if a further oral examination was required.

Once your corrections have been approved by the examiners and the Research Degrees Programme Management Team have been notified, you will receive an email from a member of the Research Degrees Programme Management Team detailing the next steps. You should submit

the final version of your thesis (i.e. the version of thesis as approved by the examiners following the viva voce examination and final corrections) to the University's repository managed by the Library. The version deposited should not include signatures and indicate the licence for all third party images. The Open Research team based in the Library will check if the thesis has been deposited correctly and that the restricting access form has been duly completed and signed, if applicable. Further information on restricting access to a thesis can be found under <a href="Thesis Submission">Thesis Submission</a> on MySurrey. The Etheses team will inform the Research Degrees Programme Management Team once your e-thesis has been successfully deposited.

Your thesis will be openly available in the University's repository once your award is conferred. The University of Surrey is a designated public authority under the Freedom of Information Act 2000. This Act gives a general right of access to all information held by the University, including theses, however, as stated above, under special circumstances you can request to restrict access to it.

# **14.7 Stage 7: Award**

Awards are made at the end of each month and the award date will usually be the last working day of the month of the award (exceptions may occur in December, and April due to holiday periods).

Due to the time it takes to check the thesis, including relevant datasets, has been uploaded correctly and to process the award details, you are advised to upload your thesis to the online repository as early in the month as possible.

- It is unlikely that there will be time to carry out all the necessary checks if you upload your
  thesis later than noon on the 12<sup>th</sup> of the month and your name will automatically be
  transferred to the following month's awards list if checks are not completed in time for
  the current month's awards.
- It is also advisable when you upload your e-thesis to check that you have no remaining tuition fee payments to make, as your award cannot be conferred until all tuition fee debt has been cleared.

You will receive an email, usually at the end of the awarding month, from a member of the Research Degrees Programme Management Team once your award has been made and you will

be asked to contact the Examinations team to either request collection or dispatch of the certificate. Your certificate and letter to confirm the award will be available to collect or will be posted, as you advise, **14 days** following the date of award.

Your Surrey IT account and email address will close 90 days after the award so please remember to save any files currently in your Surrey folders that you want to keep. **Please also update your personal email address** via **your Surrey Self Service.** 

For those due to be awarded in July with a July award date there will be a slight delay in receiving your award certificate and letter due that team being involved with the graduation ceremonies. You will receive your PhD certificate and a letter to confirm your award **around the 23<sup>rd</sup> of July** by prior arrangement with the Examinations team. The email from the research degrees programme management team will provide clear instructions and information pertaining to this.

### 14.8 Stage 8: Graduation

Invitations to attend graduation ceremonies are sent to eligible PGRs via email to their University and personal accounts. For postgraduate ceremonies in April 2025, emails will be sent in December 2024. If you are awarded your degree in January or February 2025, you will be contacted by the <u>ceremonies team</u> soon after your award date. February is the cut-off month for the April graduation ceremony. PGRs whose awards are made in March 2025 and beyond will be invited to the April 2026 ceremony. Further details can be found at <u>Graduation | University of Surrey</u>

# 15. Other sources of advice and support

As well as your main sources of support mentioned throughout this document - your Supervisors, the Research Degrees Programme Management Team and Researcher Development and Employability team - there are several other services within the University that offer support and guidance to PGRs. Some of the key services are listed below with links to their webpages where you will find some helpful information on how to access and use the services on offer.

### **15.1** The University Library

The Library, located at the heart of the Stag Hill campus and easily recognisable by its gold and glass exterior, is home to an extensive range of information resources including printed volumes, multi-media and audio-visual items, language self-study collections and to the University's Archives and Special Collections. In addition, available via the <u>library website</u>, researchers have access to significant online collections including a growing collection of over 300,000 e-books, thousands of e-books, e-journals and hundreds of specialist databases.

Open 24/7 during semester, with 350 PCs and wireless enabled throughout, the Library provides a varied study environment with 1,600 study spaces. It includes group and silent study areas, bookable group study rooms, language learning facilities and self-service printing, scanning and copying. Specifically, for the University's PGR community, Level 5 of the Library provides a designated Researcher Zone, comprising training facilities, formal and informal meeting spaces and a silent study area. Level 5 is also home to Doctoral College and the Researcher Development and Employability team.

Library and Learning Services provide a <u>welcome video</u> for all new students and a series of video "How to" guides on various aspects of using library services.

Library and Learning Services also provides specialist support on the information seeking and publications activity of researchers. Your Faculty Librarian can provide you with personalised support in your discipline area with in-depth help in finding information, reference management software and using e-resources effectively. The Open Research team offers specialist support to help you manage, publish, and disseminate your research publications and data as well as assess your research performance using responsible metrics (the team are available at <a href="mailto:openresearch@surrey.ac.uk">openresearch@surrey.ac.uk</a>). Further information including contact details for your Faculty Librarian are available on the <a href="mailto:Library Research Hub">Library Research Hub</a>.

### **15.2 The Postgraduate Society**

Postgrad Society is a student-led society for all research and taught postgraduate students which works to build an active, supportive, and inclusive postgrad community. The Society works closely with the Students' Union and University to ensure PGRs representation and the availability of

both academic and pastoral support. With our social events, we aim to provide something for everyone, from quiz nights to Roller Discos or picnics, plus we're always open to new ideas! Examples of past events include:

- BBQs
- Brewery tours
- Ice skating
- Film evenings

Throughout the year, we also coordinate opportunities for PGRs to help shape their experience, which we advertise across the University. For more information, get in touch at <a href="mailto:ussu.pgs@surrey.ac.uk">ussu.pgs@surrey.ac.uk</a> or find us on Facebook (<a href="mailto:SurreyPostgrads">SurreyPostgrads</a>), Twitter (<a href="mailto:@SurreyPostgrads">@SurreyPostgrads</a>). The society is open to all but to join our mailing list, please register through the Union website at <a href="mailto:Clubs and Societies">Clubs and Societies</a> — University of Surrey Students' Union (<a href="mailto:ussu.co.uk">ussu.co.uk</a>).

### 15.3 The Students' Union

The University of Surrey Students' Union (USSU) is an independent charity that supports and represents the views of all students across the University. The Union is run by five, full-time elected Sabbatical Officers ('Sabbs'), who lead four Zones – Activity, Community, Support and Voice – with the support of Union staff and part-time Officers. The Union offers impartial, independent academic advice for all students, and represents PGRs at the highest levels within both the Doctoral College and throughout the University.

Alongside over 160 Students' Union societies and sports clubs (including the Postgrad Society), the Union runs a 'Get Involved' programme which you can collect from the Students' Union building or keep track of events via the Facebook page. Activities include everything from trips to iconic British towns and cities, to more adventurous European travel at discounted rates and smaller one-off activities such as mocktail making, pizza nights and an open-air cinema.

The Students' Union works in partnership with the University to run the Course Rep system, and every doctoral programme has a PGR Representative who is elected to represent the views of others on the course. PGR Reps also have the opportunity to participate in the validation process

to approve new programmes or review existing ones, which is great experience for PGRs

interested in a career in HE.

For PGRs keen to get involved with the local community, the Students' Union advertises a range

of volunteering opportunities at www.surreyvolunteering.com. PGRs can also become

Community Reps, who work to enhance the local community and increase PGR involvement at

resident meetings and other events.

Useful contacts:

General information: https://ussu.co.uk/

Enquiries: ussu.information@surrey.ac.uk

Academic advice: ussu.advice@surrey.ac.uk

Course Rep Coordinator: saffron.cutts@surrey.ac.uk

15.4 Disability and neurodiversity

The D&N team can provide confidential advice and assistance to PGRs across a range of support,

including but not exclusively, implementing reasonable adjustments, advising on access to

external funded support via the Disabled Students Allowance, and support to access diagnostic

assessment for Specific Learning Differences. For adaptations to study space, PGRs should contact

their supervisor so that an Occupational Health assessment can be carried out.

Further details can be found here: <a href="https://www.surrey.ac.uk/disability-neurodiversity/services-">https://www.surrey.ac.uk/disability-neurodiversity/services-</a>

and-support

**15.5 MySurrey Hive** 

MySurrey Hive (Stag Hill and Manor Park campus) is a central point for queries related to your

PGR life including: Finance, Accommodation - on and off-campus support, Transport and Visa

enquiries.

Further details can be found here: <a href="https://my.surrey.ac.uk/hive">https://my.surrey.ac.uk/hive</a>

73

### 15.6 Health and Wellbeing

The University prides itself on the range and quality of its services to support all aspects of your health, wellbeing and personal development. The Health Centre, Centre for Wellbeing and Chaplaincy service are here to help you.

### **Support Services include: -**

- Centre for Wellbeing a free, confidential counselling service that all students can access during their time studying at the University of Surrey. The service is made up of a team of highly trained counsellors, mental health practitioners and an eating disorder therapist who support students with a wide range of diagnoses and presenting issues. If you wish to access the Centre for Wellbeing, you can complete a registration form <a href="here">here</a>. Once you have completed a registration form, you will be sent a link to book an appointment at a time that suits you. Appointments are offered face to face at the Centre for Wellbeing on Stag Hill Campus, or remotely via Teams, telephone, instant messaging or email. The Centre for Wellbeing is open Monday-Friday 8am-5pm.
- Disability and Neuroinclusion a free, service for students with a diagnosed disability, specific learning difficulty or neurodivergent diagnosis to support with practical adjustments around exams, mentoring, Disabled Students Allowance advice, and more. To contact the D&N team, you can send them a ticket using Surrey Support.
- ThriveWell Wellbeing Advisors a new team for the 24/25 academic year. ThriveWell are a team of Student Wellbeing Advisors, who strive to create a thriving and inclusive environment where every student can flourish academically, personally and socially. The ThriveWell team offers one-on-one support, workshops and outreach activities with the goal to empower students to develop coping strategies, build resilience and access appropriate support services.
- Health Assured Student Assistance Programme A free, 24/7, 365 days a year counselling phoneline that students can contact for emotional support. Call them on 0800 0283766.
- Student Health Centre an NHS GP practice located on Stag Hill Campus, making it easy for all students to access healthcare whilst they are studying. We encourage students residing on campus or in the local community to register with a local GP practice to ensure that when you need medical attention, you can do so in a timely manner. To register at Guildowns Student Health Centre, click here

- Togetherall, a free, anonymous, and non-judgmental online environment supporting your mental health and wellbeing. The service provides 24/7 online peer and professional support, with trained counsellors.
- Wisdom Wellbeing App An app free to all students to promote wellbeing, positive mental health and healthy lifestyles. Within the app you can find breathing and mindfulness exercises, podcasts, webinars, healthy lifestyle advice and an interactive daily mood tracker. Download the app for free and use code MHA268152 to get started.
- Report + Support, You can use the University's online Report + Support tool to log an incident (see the above link for a list of incidents). You can report named or anonymously for a range of incidents including bullying, harassment, sexual misconduct, hate crime, physical assault, discrimination, mental health and wellbeing, relationship abuse, stalking and issues relating to substance use or addiction. We will investigate everything that is reported and work with you to ensure that appropriate investigations and actions are taken.

**24/7 Campus Safety team:** Our dedicated Campus Safety team is available around the clock, every day of the year, including weekends, bank holidays, and University closures. We ensure a safe environment across all residential and campus areas. You can contact them on 01483 682002 OR 01483 683333 in an emergency.

Further details can be found here: <a href="https://www.surrey.ac.uk/student-support/health-and-wellbeing">https://www.surrey.ac.uk/student-support/health-and-wellbeing</a>

# 16. Making an appeal or complaint

If you wish to make an appeal against a decision or a complaint about your learning opportunities you should refer to the <u>OSCAR webpages</u> which provide information on the procedure and where to find help and support.

Where a PGR has an issue it is important to try and resolve this at a local level with the supervisors, the PGRD or the Associate Dean for an early resolution. Please refer to the <u>Code of Practice for research degrees</u> which sets out the process for local resolution of issues. If you need further advice, contact the Doctoral College Research Degrees Programme Management Team.

# **Appendix 1** Student Policies and Regulations

The latest versions of Student Policies and Regulations are available <u>here</u>.

# **Appendix 2 Dual Degree programmes**

Currently the university has the following active dual degree programmes operating within faculties.

- Milan Bicocca (UniMiB)
- University of Wollongong
- Hong Kong Polytechnic University (HKPU)
- Nankai University
- Beijing Institute of Technology (BIT)

PGRs on a dual degree programme will each have an Individual Training Agreement (ITA) which details their location for each year of study as well as supervisory arrangements and contact information. It is very helpful if the Research Degrees Programme Management Team has a copy of each PGR's ITA so that they can provide the appropriate support at each stage of the research programme.

For any queries, please contact your Research Degrees Programme Management Team for bespoke arrangements for Confirmation, Exam Panel appointments and the *viva voce* process.

# Appendix 3 PGR Lifecycle

